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USAID Climate Change Adaptation Project Preparation Facility for Asia and the Pacific (USAID Adapt Asia-Pacific)

WORK PLAN

YEAR THREE: SEPTEMBER 27, 2013 – SEPTEMBER 26, 2014

Implemented by:
AECOM International Development



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USAID CLIMATE CHANGE ADAPTATION PROJECT PREPARATION FACILITY FOR
ASIA AND THE PACIFIC (USAID Adapt Asia-Pacific)

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USAID Climate Change Project Preparation Facility for Asia and the Pacific (USAID Adapt Asia-Pacific)

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ACRONYMS

ADB	Asian Development Bank
ADPC	Asian Disaster Preparedness Center
AF	Adaptation Fund
AIT	Asian Institute of Technology
APAN	Asia Pacific Adaptation Network
AusAID	Australian Agency for International Development
CANSA	Climate Action Network South Asia
CCA	Climate Change Adaptation
CI	Conservation International
COR	Contracting Officer's Representative
CPEIR	Climate Public Expenditure and Institutional Review
CROP	Council of Regional Organizations of the Pacific
DO	Development Objective
DOF	Department of Finance
GCF	Green Climate Fund
EU	European Union
EWC	East-West Center
GEF	Global Environmental Facility
GIZ	German International Cooperation Agency
IGES	Institute for Global Environmental Strategies
ICCTF	Indonesia Climate Change Trust Fund
ICLEI	International Council for Local Environmental Initiatives
IR	Intermediate Result
IRRI	International Rice Research Institute
IWMI	International Water Management Institute
JICA	Japanese International Cooperation Agency
KM	Knowledge Management
LDCF	Least Developed Countries Fund
LOC	Letter of Cooperation
MDBs	Multilateral Development Banks
MIS	Management Information System
MOEJ	Ministry of Environment of Japan
MONRE	Ministry of Natural Resources and Environment
MOU	Memorandum of Understanding
M&E	Monitoring and Evaluation
NABARD	National Bank of Agriculture and Rural Development
NIE	National Implementing Entity
OSCC	Office of Climate Change Coordination
ONEP	Office of Natural Environmental Policy and Planning
PIFS	Pacific Islands Forum Secretariat

PPCR	Pilot Project on Climate Resistance
PMP	Performance Management Plan
RDMA	Regional Development Mission for Asia
REO	Regional Environmental Office of RDMA
RMI	Republic of Marshall Islands
RTG	Royal Thai Government
RTSM	Regional Technical Support Mechanism
SEI	Stockholm Environment Institute
SIDA	Swedish International Development Cooperation Agency
SPREP	Secretariat of the Pacific Regional Environment Programme
TA	Technical Assistance
TICA	Thailand International Cooperation Agency
UNEP	United National Environment Program
UNFCCC	United Nations Framework Convention on Climate Change
USP	University of South Pacific
WRI	World Resources Institute

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WORK PLAN SUMMARY

Background. USAID RDMA's objective in climate change adaptation is to assist countries to increase resilience to the negative impacts of climate change. The USAID Climate Change Adaptation Project Preparation Facility for Asia and the Pacific (USAID Adapt Asia-Pacific) contributes to this overall objective by addressing capacity and information needs of eligible governments in the Asia and Pacific regions in accessing climate adaptation finance. The original premise of the USAID Adapt Asia-Pacific project was that national governments in the Asia and Pacific region had recognized the increasing impacts of climate change, but were facing a range of constraints in accessing the presumed increasing quantity of external climate change adaptation funds available globally. Thus, the original aim of the project was to assist national governments overcome these constraints and accelerate access to adaptation funds through targeted technical assistance and capacity building.

Early baseline investigation by the project during FY12 found that there was very little adaptation funding available from the specialized climate change funds (like the UNFCCC Adaptation Fund) for adaptation purposes and, in any case, direct access by national governments was the exception, rather than the rule. Until very recently, there was not one national institution in the Asia-Pacific region that had achieved accreditation for direct access to the Adaptation Fund. In addition, USAID Adapt Asia-Pacific's initial assessment determined that most of the external funding was either intermediated by or provided directly by multilateral development agencies or through bilateral aid programs. Therefore, to jumpstart project activities, USAID Adapt Asia-Pacific took a two-pronged approach during early FY12. First, the project commenced the design of tailored capacity building activities with select regional governments and training institutions to enhance institutional capacity to access climate finance. Second, USAID Adapt Asia-Pacific took the decision to support national governments, in collaboration with multilateral and UN agencies, in the preparation of climate change adaptation projects. This strategic approach proved very successful during FY12 and FY13 with the design and implementation of a multi-faceted capacity building program and joint project preparation carried out and/or underway with UNDP, UNEP, ADB, and the World Bank in various countries in the Asia-Pacific region, including Lao PDR, Nepal, Tuvalu, Cambodia, Samoa, Solomon Islands and Vanuatu.

In addition, investigation of the need for climate change adaptation financing suggests that external financing is unlikely to be sufficient, even if the US\$100 billion targeted annually from 2020 for climate change action materializes. Therefore, the FY13 Work Plan built on the successes and lessons learned from FY12 to further broaden USAID Adapt Asia-Pacific's strategic approach to include investigation of current levels of financing available through domestic public finance and private sector sources for climate adaptation.

Program approach. Building on project experience and lessons learned from FY12 and FY13 with respect to project preparation, capacity building, and the difficulty national governments have in directly accessing limited climate change adaptation financing, USAID Adapt Asia-Pacific intends during FY14 to:

- Build on past, successful collaborative efforts with multilateral intermediaries, where USAID Adapt Asia-Pacific has identified entry points to work directly with national governments, to build institutional capacity of national governments to identify and prepare adaptation projects, while pursuing the identification of other national and sub-national government institutions to develop capacity building and project preparation activities;
- Work with national governments whose adaptive capacity the project has strengthened to identify and prepare adaptation projects;

- Pursue twinning partnerships, as appropriate, to enhance USAID Adapt Asia-Pacific capacity building activities to improve access to adaptation financing and to prepare adaptation projects;
- Employ collaboration with multilateral intermediaries more selectively and strategically; and
- Continue to explore new sources of climate change adaptation financing, including the Green Climate Fund, domestic public finance, and private sector sources.

Summary of tasks and key activities in FY14. The principal objective of USAID Adapt Asia-Pacific is to establish a fully functional and self-sustaining adaptation project preparation facility that will not only support preparation of specific projects, but also build the capacity of the region's governments and training/capacity building institutions to independently access climate adaptation financing. To continue to establish a sustainable project preparation facility in FY14, USAID Adapt Asia-Pacific will work closely with funding organizations, capacity building institutions, multilateral institutions, and government agencies from countries across the region in focused activities in five key areas:

1. Regional knowledge sharing platform:
 - Publication of USAID Adapt Asia-Pacific knowledge products
 - Implementation of USAID Adapt Asia-Pacific community of practice
 - Strengthen knowledge sharing capacity.
2. USAID Adapt Asia-Pacific annual forum:
 - USAID Adapt Asia-Pacific Third Annual Forum.
3. Climate change adaptation project capacity building program:
 - Support to existing capacity building programs and institutions
 - Direct technical support to government institutions, including support to twinning partnerships
 - Developing new capacity building programs
 - Tools, guidelines and methodologies.
4. Technical assistance in preparing funding proposals:
 - Project preparation in Asia
 - Pacific region project preparation
 - Exploring other funding opportunities:
 - Mobilizing innovative sources of finance
 - Alternative sources of funding for CCA work
 - Climate finance screening and tracking tools.
5. Overarching program management and coordination for the aforementioned four technical tasks.

As cross-cutting themes, USAID Adapt Asia-Pacific will promote regional networking, gender mainstreaming and other social equity issues.

Implementation team. AECOM serves as the prime contractor for USAID Adapt Asia-Pacific, providing overall technical, management, coordination and communications support in combination with subcontractors and resource partners. Further, IGES serves as the principal subcontractor implementing key tasks related to knowledge management and the annual forum. AECOM is engaging, as appropriate, small business subcontractors (e.g. dTS and Kaizen Company) and resource partners (APAN, USP, EWC, TERI, CANSA, Yale University, and AIT) for the implementation of key activities in project year three.

1.0 BACKGROUND AND CONTEXT

1.1. CONTRACT OVERVIEW

Based in Bangkok, Thailand, the United States Agency for International Development (USAID) Regional Development Mission for Asia (RDMA) supports regional activities that address regional and transnational development and environment priorities, and provides value-added support to USAID bilateral missions. To support achievement of the RDMA's Development Objective of "Improved response to environmental challenges in Asia," RDMA awarded a technical support services contract to AECOM to implement the USAID Climate Change Adaptation Project Preparation Facility for Asia and the Pacific, hereinafter referred to as the USAID Adapt Asia-Pacific project. USAID Adapt Asia-Pacific addresses capacity and information needs of eligible governments in the Asia and Pacific Islands regions in accessing climate change adaptation funds and accelerating investments in initiatives that increase resilience to the negative impacts of climate change.

During the first two years of the project's operation, USAID Adapt Asia-Pacific has made progress toward achievement of its principal objective to establish a fully functional and self-sustaining adaptation project preparation facility by supporting preparation of specific projects, primarily through working to strengthen key national and project preparation institutions in the region, building strategic partnerships with multilateral and regional project preparation institutions, identifying and supporting a regional knowledge-sharing platform to share project preparation knowledge products, and being recognized as a key USG project preparation facility by key stakeholders in the region. In FY14 and going forward, the project will build on this experience by focusing its efforts on building the capacity of the Asia-Pacific region's national governments, regional organizations, and regional training/capacity building institutions to independently improve access to climate adaptation funds. To establish a sustainable project preparation facility, USAID Adapt Asia-Pacific will continue working closely with funding organizations, government agencies and regional institutions from across the Asia-Pacific region in focused activities in five key areas: (1) sustainable regional knowledge sharing platform; (2) annual forum to bring adaptation funds and project proponents together; (3) climate change adaptation project capacity building program; (4) technical assistance in preparing funding proposals; and (5) overarching program management and coordination for the afore-mentioned four technical tasks.

Geographic Scope. The following Asian countries are eligible to participate in USAID Adapt Asia-Pacific: Bangladesh, Cambodia, India, Indonesia, Laos, Maldives, Mongolia, Nepal, Philippines, Sri Lanka, Thailand, Timor-Leste, and Vietnam. Eligible nations in the Pacific include: Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu.

1.2. FY13 RESULTS OVERVIEW

1.2.1. KEY RESULTS IN FY13

During FY13, USAID Adapt Asia-Pacific achieved two significant results for the future direction of the project: (1) established a solid foundation of successful capacity building initiatives that will be continued and built upon in FY14; and (2) identified opportunities and entry points that successfully position the project to move from a project preparation approach based primarily on cooperation with multilateral development partners to one directly supporting national and local government institutions. Specific key outputs for USAID Adapt Asia-Pacific in FY13 can be highlighted as follows, with the targets achieved under the contract's PMP set out in the following section 1.2.2.:

- Developed a new online knowledge platform/website for APAN, Asia-Pacific Adaptation Network.

- Conducted three online discussion fora/live-chats with the members of USAID Adapt Asia-Pacific's Community of Practice that provided a better understanding of available sources of climate adaptation financing and alternate modalities for accessing them.
- Organized the USAID Adapt Asia-Pacific Second Annual Meeting in Nadi, Fiji, in June 2013 that brought together Pacific Island Countries and development partners in an innovative development marketplace setting to discuss country climate financing needs and possible partner responses.
- Showcased USAID Adapt Asia-Pacific with international donor institutions and other development partners through the organization of a well-attended parallel session on "Accessing Climate Change Adaptation Finance: International Lessons, National Concerns, and Capacity Needs" at the March 2013 APAN Third Adaptation Forum in Korea, Asia's premier climate change adaptation event, that presented experience to date in availability and access to international sources of climate finance.
- Supported the organization of the highly successful Pacific Climate Finance Resourcing Event Week in partnership with the Pacific Islands Forum Secretariat (PIFS) that laid the foundation for future collaboration in climate finance activities in the Pacific region.
- Supported the organization of a five-day climate finance training course in partnership with TERI in India that trained senior government officials on the various sources of international climate finance.
- Organized a two-day climate finance workshop in partnership with APAN, CANSA, and the USAID LEAD project in New Delhi, India, that brought together South Asian government officials and CSOs for a frank exchange of ideas on the most appropriate sources of climate finance in the South Asian context.
- Organized a workshop on the tools of cost-benefit analysis of climate change adaptation projects within the ongoing Economics of Climate Change Adaptation capacity building program in collaboration with the United Nations Development Programme (UNDP), whose objective is to build capacity of national government institutions to use economic analysis to identify, develop, and prioritize adaptation projects for financing.
- Developed a first of its kind Climate Change Research Strategy for Thailand in partnership with Thai Royal Institute and ONEP.
- Supported the Government of Samoa, in collaboration with UNDP and World Bank, respectively, in the development of two climate change adaptation projects: (1) "Enhancing the Resilience of Tourism-reliant Communities project"; and (2) "Enhancing the Climate Resilience of Coastal Resources and Communities" project to access adaptation financing from the Global Environment Facility (GEF).
- Provided technical support to the design and establishment of the Tonga Climate Change Trust Fund in partnership with the Asian Development Bank (ADB), which will serve as the principal vehicle for channeling bi- and multilateral climate financing to the Government of Tonga.
- Continued support to the development of guidelines, which will be applied to climate proof rural community ponds to be financed by the ADB/Government of Cambodia Second Rural Water Supply and Sanitation Sector Project.
- Organized a workshop for Indonesian government officials, in partnership with the Indonesia Climate Change Trust Fund (ICCTF), to present and discuss the USAID Adapt Asia-Pacific-

developed project appraisal and selection tools, which will be applied to prioritize projects for financing by ICCTF. Provided technical assistance to the Department of Finance of the Philippines in preparing and submitting its application for National Implementing Entity (NIE) accreditation to the UNFCCC Adaptation Fund.

1.2.2. FY13 PMP RESULTS

The table below presents a comparison of targeted vs. actual results for PMP indicators for FY13, as follows.

PROGRAM ELEMENT 4.8.2. CLEAN PRODUCTIVE ENVIRONMENT			
PMP INDICATORS		FY13 TARGETS	ACTUAL FY13 RESULTS
D.O.1	Number of stakeholders with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance	8	0*
IR 1.1	Person hours of training completed in climate change supported by USG assistance/Number of people trained in climate change	3,822/190	5,101/205
IR 1.2	Number of institutions with improved capacity to address climate change issues as a result of USG assistance	0	8*
IR 2.1	Number of climate change adaptation projects with facilitated access to finance	3	2**
IR 2.2	Number of facilitated projects with a specific gender component	1	0
IR 2.3	Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance***	29,000	58,000
IR 2.4	Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance***	\$64 Million	\$81 Million
IR 2.5	Number of climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance (Custom)	1	1
IR 2.6	Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	\$200,000	\$ 227,446
IR 3.1	Number of regional environmental platforms created or strengthened	1	1

* Per USAID/RDMA's recent guidance, all institutional stakeholders supported under the Indicator D.O.1 will be reported under the Indicator IR 1.2, where we will consider this support as institutional capacity building to address climate change issues. In consultation with USAID, USAID Adapt Asia-Pacific decided starting in FY14 not to use the D.O.1 indicator to measure project achievement.

** USAID Adapt Asia-Pacific was not able to achieve established targets under Indicators 2.1 (67%) and 2.2 (0%) by the end of FY13 due to delays in the submission of project proposals by national governments and development partner institutions to the funding agencies (in Samoa) and general difficulties in identifying and developing gender specific activities for climate adaptation projects. The project is developing a special Sourcebook on integrating gender in climate adaptation projects and programs and has initiated several new project preparation activities in Vanuatu, Samoa, Cambodia and Timor Leste that will yield results in climate adaptation projects with specific gender components during the coming fiscal year.

*** USAID Adapt Asia-Pacific exceeded its targets for Indicators 2.3 and 2.4 due to particularly successful project preparation work with national governments and development partners that was able to access more funding from GEF and the ADB than anticipated at the beginning of FY13.

1.3. WORK PLAN OVERVIEW

The USAID Adapt Asia-Pacific FY14 Work Plan consists of five main sections and two attachments: (1) Background and Context; (2) Implementation Approach; (3) Work Plan by Task; (4) Program Activities Timeline Table; and (5) Performance Management Plan Indicators and Targets for FY14. Attachment A provides a summary of potential activities by target countries. Attachment B (Activity Summary Sheets) provides detailed information about priority activities that are categorized as “Key Activities” in narrative sections 3.3 and 3.4 relating to Tasks 3 and 4. Conversely, Task 3 and 4 activities categorized as “Other Potential (Reserved) Activities” in these sections, and which do not have Activity Summary Sheets, might be considered for implementation during FY14 depending on the availability of resources and further assessment to substantiate their relevance to achieving the project’s targets and indicators.

2.0. FY14 WORK PLAN IMPLEMENTATION APPROACH

Strategic approach. As described in the preceding Work Plan Summary section, USAID Adapt Asia-Pacific's evolving approach to building long-term national capacity to prepare bankable climate change adaptation projects and to increase access to climate adaptation financing aims during FY14 to employ cooperation with multilateral intermediaries more strategically where it would help to identify opportunities to work directly with national governments. This implies working with multilateral intermediaries, in defining the terms of cooperation, to ensure national government officials are involved to a greater extent as part of the project preparation team. It means redoubling our efforts to ensure USAID Adapt Asia-Pacific staff meet with government counterparts on a regular basis and keep them fully briefed as to the status of the activity. The principal objective of this component of the project's approach will continue to be to build on project activities with multilateral intermediaries to help identify entry points where USAID Adapt Asia-Pacific can pursue its longer-term goal of building sustainable capacity with national governments to prepare projects and access climate adaptation financing.

The investigation of a range of climate change adaptation financing sources will continue during FY14. These analyses will round out USAID Adapt Asia-Pacific's understanding of how best to assist national governments to increase access to adaptation financing. The intent of this type of activity, which is a component of the project's capacity building activity, is to ultimately enhance the ability of national governments to effectively and efficiently utilize all sources of climate finance for the preparation of bankable climate change adaptation projects. This continuing evolution in approach has already led to successful USAID Adapt Asia-Pacific capacity building initiatives during FY13 in the Philippines and Indonesia. These successful initiatives are helping national government institutions access climate finance and/or better manage climate resources they already have for the preparation of bankable climate adaptation projects. The project intends to build on ongoing activities in FY14 in the Philippines and Indonesia and has identified the potential for similar type activities in FY14 in India, Thailand, Samoa, Papua New Guinea and Solomon Islands.

Twinning partnerships. One element to achieving USAID Adapt Asia-Pacific's long-term objective of sustainability is leveraging the capabilities and good will of counterpart agencies through peer-to-peer exchanges and learning. USAID Adapt Asia-Pacific has given careful consideration to opportunities from the outset of the project that will promote twinning between counterpart country agencies to share knowledge and information to tackle tasks common to both agencies.

Linkages could take the form of information exchange, shared learning, sustained twinning partnerships, and joint creation of toolkits and other knowledge products. During FY13, USAID Adapt Asia-Pacific successfully initiated a twinning activity between Senegal's Centre de Suivi Ecologique (CSE), the first national organization to achieve accreditation with the UNFCCC's Adaptation Fund (AF), and the Philippines Department of Finance (DoF) to assist DoF with its own AF application process. Where appropriate, the project will employ twinning partnerships during FY14 as it builds working relationships in the formulation and implementation of capacity building and project preparation activities with national governments, including Thailand and Cook Islands, where twinning partnerships are under consideration.

Demand-driven programming. The success of USAID Adapt Asia-Pacific depends on meaningful buy-in from target country USAID missions and government agencies and close collaborative engagement with relevant regional and government institutions. To ensure effective collaboration with these stakeholders, from the inception phase of program implementation, USAID Adapt Asia-Pacific has reached out to key development partners, bilateral missions and government institutions to gauge their interest in climate change adaptation project preparation and capacity development in participating countries. To support ongoing collaboration, USAID Adapt Asia-Pacific is establishing collaborative

mechanisms such as decision-maker working groups, Letters of Cooperation (LoCs), interactive information-sharing tools, and Communities of Practice (CoP) to increase national government interest in the program's proposed activities. USAID Adapt Asia-Pacific will continue to reach out to participating countries to provide technical assistance in developing bankable climate change adaptation projects in order to effectively and efficiently access adaptation finance.

Strategic partnership with development partners. As an initial strategic approach, partnerships with multilateral organizations and development partner agencies have provided an initial "quick start" to the comprehensive development of the USAID Adapt Asia-Pacific project preparation facility. From the outset of the project, USAID Adapt Asia-Pacific has reached out to priority funding agencies and regional development partners (UNDP, ADB, World Bank, PIFS, SPREP) and put in place Letters of Cooperation (LoCs) that set out strategic partnerships encompassing a range of cooperative mechanisms, including project preparation and capacity building activities, for leveraging USAID Adapt Asia-Pacific resources. USAID Adapt Asia-Pacific offers a range of collaborative inputs in terms of project technical assistance, training and capacity building, and knowledge management that help development partners meet their own goals and objectives, while providing an excellent opportunity to the project to identify entry points for direct involvement with national government institutions.

Integrated approach to capacity building and project preparation. A self-sustaining knowledge transfer project preparation facility implies effective integration of USAID Adapt Asia-Pacific Tasks 3 (Capacity Building) and 4 (Project Preparation) activities. For the purposes of developing the FY14 Work Plan, capacity building and project preparation will continue to be described separately, but both tasks are intimately linked and fall along a continuum of program technical support that over time will evolve from capacity building to project preparation and vice versa. For example, a Task 3 institutional strengthening activity to build capacity to access adaptation financing through accreditation with an adaptation fund (e.g. the project's activity with the Department of Finance in the Philippines) and/or through design and establishment of a national trust fund (e.g. the Indonesia Climate Change Trust Fund), could evolve to a project preparation activity when projects submitted for financing, once funds are accessed, by national line ministries are screened, evaluated and prioritized for their appropriateness. Conversely, an activity that begins as a Task 4 project preparation collaborative initiative with a multilateral development partner, for example in Samoa or Lao PDR, can evolve into a capacity building or mentoring effort with the host national government in the process of introducing the tools and methodologies employed and the lessons and best practices learned from the initial activity.

3.0. USAID ADAPT ASIA-PACIFIC FY14 WORK PLAN ACTIVITIES

For USAID Adapt Asia-Pacific, AECOM is implementing activities in five main tasks areas:

- ☐ Task 1: Regional Knowledge Sharing Platform
- ☐ Task 2: USAID Adapt Asia-Pacific Annual Forum
- ☐ Task 3: Climate Change Adaptation Capacity Building Program
- ☐ Task 4: Technical Assistance in Preparing Funding Proposals
- ☐ Task 5: Overarching Program Management and Coordination.

Under the guidance of USAID RDMA, and in consultation with USAID bilateral missions and development partners, AECOM's FY14 Work Plan identifies main activities, outputs, expected outcomes and resource requirements in accordance with the project PMP.

3.1. REGIONAL KNOWLEDGE SHARING PLATFORM

USAID Adapt Asia-Pacific will continue to share, through its website, knowledge gained from the project's lessons learned and experience to date. It will strengthen the Asia Pacific Adaptation Network (APAN) regional knowledge sharing platform, which USAID Adapt Asia-Pacific views as one of the pillars to sustain the project. APAN's Sustainability Strategy, which is being finalized, aims to develop a long-term vision and strategies for the regional adaptation network, as well as proposing short-term concrete actions that will lead to APAN's long-term sustainability. The Strategy recommends actions for USAID Adapt Asia-Pacific in the network's two key areas of knowledge generation and web-based knowledge management, including specific activities such as disseminating project information on adaptation finance, promoting exchange of good practices and eligible activities, facilitating practitioner networking, and informing stakeholders on available capacity building programs.

3.1.1. IMPLEMENTATION APPROACH

Task 1 will continue to support the project's contribution to the broader climate change adaptation community, particularly in the areas of improving access to climate finance and supporting adaptation project preparation in eligible countries. This will be accomplished by publishing and producing USAID Adapt Asia-Pacific knowledge products and by disseminating them widely through a strengthened regional knowledge sharing platform. As the project as a whole advances into its third year, USAID Adapt Asia-Pacific is expected to continue to gain greater recognition and relevance among stakeholders. In FY14, the implementation approach aims to continue the integration of Task 1 with other USAID Adapt Asia-Pacific activities (Task 2, 3 and 4). This will include producing more knowledge products derived from Task 3 and 4. Task 1 will further assist with publishing lessons and findings that have been gained.

Task 1 will also continue producing knowledge products through implementing a community of practice based on the lessons learned from the previous years. Indeed, effective knowledge sharing requires upgrading and strengthening knowledge platforms, including APAN. Since the website's launch in early 2013, USAID Adapt Asia-Pacific is successfully receiving a large and increasing number of users, over 5,400 visitors during the first three quarters of 2013. The project's website is also linked with APAN's web portal (over 13,600 visitors in the same period). USAID Adapt Asia-Pacific will strive during FY14 to have a larger impact and contribution to the climate change adaptation community by increasing the capacity of network operators and enhancing collaboration to improve harmonization and resource efficiency.

3.1.2. PUBLICATION OF USAID ADAPT ASIA-PACIFIC KNOWLEDGE PRODUCTS

USAID Adapt Asia-Pacific will promote its activities by continuing to produce quarterly newsletters featuring key project outputs, publishing program briefings and success stories in collaboration with Task 3 & 4, and contributing to the USAID RDMA weekly update. These knowledge products will be widely disseminated through USAID Adapt Asia-Pacific's website and APAN's web portal (within one of its 14 thematic focuses), and possibly featured in the APAN's regular newsletters (>5,000 subscribers).

In FY14, coordination and communication across Task 1 to 4 will be strengthened with the aim of acquiring more content to develop knowledge products and outreach materials for USAID Adapt Asia-Pacific. With support from the Chief of Party and Task Leaders, Task 1 will assist in integrating communications and outreach efforts into appropriate phases of USAID Adapt Asia-Pacific's overall planned activities.

3.1.3. IMPLEMENTATION OF USAID ADAPT ASIA-PACIFIC COMMUNITY OF PRACTICE

USAID Adapt Asia-Pacific will continue piloting and strengthening its community of practice. USAID Adapt Asia-Pacific's community of practice enables government officials and key practitioners in target countries to interact with experts and with each other to gain knowledge in an online space.

In FY13, Task 1 successfully conducted five e-discussions. Two engaged USAID Adapt Asia-Pacific First and Second Annual Forum alumni through a facilitated e-mail exchange format (in March and September 2013). The e-discussions highlighted in-country challenges in accessing climate finance and elicited responses from Asia and Pacific participants on their experiences to boost donor confidence and increase country readiness. A further two e-discussions were conducted in a live-chat format (in June and September 2013) that allowed USAID Adapt Asia-Pacific's Economics of Climate Change Adaptation (ECCA) workshop participants and mentors to discuss elements of their ongoing activities (i.e. designing agriculture and water resources survey instruments) in between training sessions. In collaboration with UNDP's Pacific Solution Exchange, USAID Adapt Asia-Pacific conducted a fifth e-discussion (in April 2013) with Pacific stakeholders to gain regional experience on the main barriers Pacific countries face in accessing climate finance and to find ways to improve the process. Task 1 learned that providing a venue for short but intensive interactions among practitioners to discuss specific topics induced lively discussions and produced tangible outputs, such as e-discussion summary reports, transcripts and consolidated replies that were shared among all stakeholders.

In FY14, Task 1 will continue implementing CoP activities through online live chats and will also consider piloting at other virtual events, which are well-coordinated and publicized, to boost the current level of interactivity among participants, including forum alumni.

To do so, Task 1 will consider carrying out a couple of activities for the community of practice: (i) spotlighting a particular country's CCA financing challenges and successes and/or sharing donor and country perspectives, such as how to increase aid effectiveness among donors and how to increase readiness among countries in an online Q&A article format; and (ii) exploring new web-based technologies that can translate the recent Development Marketplace carousel session onto a virtual space, such as scheduled donor/country online interactive sessions. The latter option aims to replicate the success of the Development Marketplace session held during the USAID Adapt Asia-Pacific Second Annual Meeting in Fiji, June 2013, which for the first time in the Pacific region brought together Pacific Island Countries and development partners to discuss face-to-face individual country needs with respect to climate finance and possible partner responses.

Task 1 will also continue to support CoP activities of the USAID Adapt Asia-Pacific/UNDP Economics of Climate Change Adaptation (ECCA) capacity building program by providing an online space for

interactive live chat sessions between participants and experts and coordinating other outreach activities. This will be done in collaboration with the Task 3 Team Leader and the UNDP ECCA program coordinator.

3.1.4. STRENGTHENING KNOWLEDGE SHARING CAPACITY

USAID Adapt Asia-Pacific will continue improving its outreach to the climate change adaptation community with its own knowledge products and through upgrading of the USAID Adapt Asia-Pacific's website to better serve key stakeholders. Task 1 will continue maintaining and updating the "Financing Adaptation" priority theme page on the APAN web portal that provides links to current CCA financing resources in the region.

In FY13, USAID Adapt Asia-Pacific assisted APAN in re-launching its own platform. Then, dispersed information stored in APAN's and APAN's partner web portals was consolidated and streamlined. USAID Adapt Asia-Pacific's contribution was also successfully fed into the improved web portal.

In FY14, Task 1 will focus on technically upgrading USAID Adapt Asia-Pacific's website to utilize newer web technologies and promote its activities. This will include producing and posting more USAID Adapt Asia-Pacific knowledge products, setting up automatic content feed to share related climate finance information from the APAN web portal's "Financing Adaptation" priority theme page to the USAID Adapt Asia-Pacific's website, and improving the USAID Adapt Asia-Pacific's website overall interface to enhance user experience.

Task 1 will also seek to increase the capacity of related knowledge sharing platforms and networks in the region, through which USAID Adapt Asia-Pacific can expand its outreach. To do so, USAID Adapt Asia-Pacific will address common challenges faced by many network operators, such as the Asia-Pacific Adaptation Network (APAN), WeADAPT, among others. This will in turn narrow the knowledge gaps that exist among USAID Adapt Asia-Pacific's stakeholders. Access to the right information is one of the key challenges faced by developing countries in financing adaptation projects, including information on the emerging architecture and requirements for global adaptation funding and others. As initiatives, actions and information are rapidly increasing in the field of climate change adaptation, including climate financing, there is a greater need to harmonize terms, increase interoperability of platforms and improve overall searchability to provide the right information to USAID Adapt Asia-Pacific's beneficiaries. With USAID Adapt Asia-Pacific's support, APAN is working with the Stockholm Environment Institute's (SEI) WeADAPT platform and the UK's Institute of Development Studies' (IDS) Eldis program to share relevant climate change adaptation content between platforms. This effort seeks to improve knowledge dissemination and increase the capacities of these platforms, including by strengthening knowledge management of resources related to climate change.

USAID Adapt Asia-Pacific along with APAN have been actively communicating with major adaptation/climate change-related network operators on this topic. Close collaboration between USAID Adapt Asia-Pacific and APAN also helps USAID Adapt Asia-Pacific to maintain and increase relevance among climate change adaptation practitioners in the Asia-Pacific region. USAID Adapt Asia-Pacific will seek possible collaboration and harmonization across different networks. Further, it will discuss the knowledge management and sharing challenges with the aim of narrowing knowledge gaps, including adaptation financing, technologies, private sector engagement and others.

In addition, Task 1 will continue implementing actions being recommended in APAN's overarching Strategy developed during FY13 to increase sustainability of knowledge management for climate change adaptation in the region.

3.2. USAID ADAPT ASIA-PACIFIC ANNUAL FORUM

3.2.1. IMPLEMENTATION APPROACH

In FY14, USAID Adapt Asia-Pacific will design and organize the third annual forum building upon new developments in adaptation financing and project formulation in the region and the lessons learned from the past two annual forums. The general implementation approach includes: re-engagement with Asian counterparts, sharing case studies/good practices, and providing the opportunity for interaction between development agencies and country representatives through a development marketplace session.

3.2.2. USAID ADAPT ASIA-PACIFIC THIRD ANNUAL FORUM

The USAID Adapt Asia-Pacific Second Annual Meeting was held from June 3-4, 2013 in Nadi, Fiji. The objectives set for the Meeting were:

- To raise awareness and understanding of potential adaptation project proponents in the Pacific of available climate funding resources and the requirements for accessing these funds;
- To identify priority capacity gaps and county needs in preparing climate change adaptation project preparation in the Pacific region; and
- To present the USAID Adapt Asia-Pacific project and its potential role in facilitating country access to adaptation funds.

USAID Adapt Asia-Pacific received favorable feedback from the participants of the Second Annual Meeting in Fiji. They included: highly appreciated innovative, informal one-to-one meetings between countries and development agencies (marketplace session), active group discussions on selected focused topics, provision of an intensive learning opportunity from organizing back-to-back events (*Pacific Climate Change Resourcing Event Week* consisting of a climate finance workshop and the annual meeting), and the event's high profile, in which many high-level officials participated. As a key output, particularly of the marketplace session the Second Annual Meeting, not only recommended numerous actions for USAID Adapt Asia-Pacific follow up, but also proved very enlightening for both development partners and aid recipient countries with regard to suggestions on improved donor coordination, harmonization in assistance modalities, and tailored support in response to unique conditions in recipient countries.

In FY14, USAID Adapt Asia-Pacific will synergize activities with Task 3 and 4 and aim to provide a region-wide learning opportunity with special focus on challenges faced by the Asian target countries and emerging issues in adaptation financing. Possible topics include: showcasing climate financing good practices found in Asia, as well as in the Pacific (from Task 3 and 4), and highlighting innovative sources of finance (Task 4, domestic public climate finance and private sector engagement). The Third Annual Forum will also strengthen country-donor interactions by building on the development marketplace concept conducted in the Second Annual Meeting. USAID Adapt Asia-Pacific will also continue to maintain communication with Forum/Meeting participants as project alumni to keep them informed about project activities.

3.3. CLIMATE CHANGE ADAPTATION CAPACITY BUILDING PROGRAM

3.3.1. IMPLEMENTATION APPROACH

Task 3 in 2014 will consist of continuation of successful initiatives that have not yet reached their scheduled conclusion plus a number of new initiatives. The initiatives will be delivered through four modalities, as follows:

- Support to Existing Capacity Building Programs and Institutions, will continue to support high quality ongoing initiatives in the region which, through USAID Adapt Asia-Pacific's assistance, can enhance their effectiveness in raising government capacity in line with USAID Adapt Asia-Pacific objectives.
- Direct Technical Support to Government Institutions, will build on the successes that USAID Adapt Asia-Pacific has had in working directly with governments on various climate change adaptation (CCA) financing activities, and embark on new opportunities, including providing technical support to Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) in developing a National Adaptation Plan (with UNDP co-financing) and institutional twinning arrangements.
- Standalone Capacity Building Programs, will follow through on the work being done with UNDP and Yale University under the Economics of Climate Change Adaptation program, extend this program to the Pacific, and explore the possibility of working with partners in a new program concerning climate proofing infrastructure.
- Tools, Guidelines, Methodologies, will support preparation of a sourcebook on gender considerations in CCA, and use the products of the sourcebook to help a Pacific island country develop project operational guidelines.

3.3.2. SUPPORT TO EXISTING CAPACITY BUILDING PROGRAMS AND INSTITUTIONS

Key principles of the capacity building program are to ensure sustainability of project activities by teaming up with capable institutions in the region that can continue to deliver USAID Adapt Asia-Pacific outputs after project completion, and to avoid "reinventing the wheel" by supporting existing programs where possible. In FY13, USAID Adapt Asia-Pacific developed a competitive system based on a set of criteria for selecting government officials to attend capacity building programs and identified institutions to help design and deliver capacity building programs. In FY14, USAID Adapt Asia-Pacific will build on these achievements.

Key Activities¹:

- Support attendance of selected Asian government officials in existing training programs. USAID Adapt Asia-Pacific's 2012 regional assessment of capacity building needs in CCA for the region concluded there were a number of courses in and outside the region that would further USAID Adapt Asia-Pacific objectives if support were extended to eligible government staff. In early 2013, competitive procedures and criteria were developed, provided to USAID, and approved. Particularly targeted are officials from central ministries, e.g. Finance, Planning, Prime Minister's Office and major line ministries dealing with key climate change adaptation sectors. The project is currently offering a choice of nine training courses that include, amongst others, climate risk management in a changing environment, GIS for disaster risk management, community forestry for adaptation to climate change, and paleoflood hydrology in flood risk assessment. The program will be continued in 2014 with approximately 20 mid- to senior-level government officials receiving training primarily from Asia regional institutions. USAID Adapt Asia-Pacific's ultimate purpose in sending government officials to these training courses is to

¹ For purposes of the FY14 Work Plan, USAID Adapt Asia-Pacific and USAID RDMA took the decision to include two types of activities: (i) Key Activities being those initiatives that have been sufficiently detailed and budgeted (see Activity Sheets in Attachment B) and whose implementation will commence immediately upon RDMA's approval; versus (ii) Other Potential (Reserved) Activities being those initiatives of possible interest to the project but for which sufficient information is presently not available to classify them as Key Activities; depending on available resources and the requirements of the project to meet established targets, Reserved Activities will be evaluated on a case-by-case basis for inclusion as a Key Activity.

build their capacity to properly identify and develop adaptation proposals to access financing. In addition, USAID Adapt Asia-Pacific will use these trained government officials as champions to initiate project preparation and capacity building activities with their respective government institutions. In 2014, the first batch of trainees will have completed six months since the completion of their training. At that time, and again after 12 months, the trainees will be asked a series of questions in the form of an impact evaluation that will indicate whether and how the skills acquired during the training have been employed in their work. Training provided to Thai government staff (who the project can monitor more readily and immediately) has clearly led to strengthening support for USAID Adapt Asia-Pacific's objectives, as well as furthering CCA objectives within the government.

Other Potential (Reserved) Activities:

- Design and deliver an international course on climate change finance at the International Centre for Climate Change and Development (ICCCAD). Based on a discussion with the ICCCAD Director, there is strong regional demand for courses on climate change finance, and this Center is hoping to help meet that demand. This would be a new seven-day annual course open to about 25 government officials from Asia (and three or so from Africa to be supported by ICCCAD). The participants will develop a good understanding of available financing, the processes required to access these sources, how to build a strong pipeline of projects, and where to go for additional support.
- Continued Strengthening of The Energy and Resources Institute (TERI) to Deliver Quality Training in Climate Finance. The project collaborated with TERI and the Basque Centre for Climate Change (BC3) in a very successful five-day capacity building program on climate finance for mid-level and senior Indian government officials from both federal and state levels. The project will explore with TERI further collaboration in designing and delivering a somewhat modified form of the 2013 program that provides more emphasis on practical training and in which TERI will shoulder a greater part of the resource requirements. The possibility of entering into a triangular partnership with ICCCAD will be explored so that both institutions will have input to and deliver one or more of these courses.
- Design a training component on gender considerations in climate change adaptation based on the sourcebook. This activity will complement and broaden the reach of the sourcebook on gender considerations in climate change adaptation by using the sourcebook as the basis for a course – or more likely a component of an existing course or courses – on CCA. ICCCAD management, for example, expressed a strong interest in seeing how the sourcebook might be adapted as a component in their post-graduate climate change degree course.

3.3.3. DIRECT TECHNICAL SUPPORT TO GOVERNMENT INSTITUTIONS

Government agencies in Asia and the Pacific have requested assistance from USAID Adapt Asia-Pacific to help strengthen their capacity to access climate change adaptation financing. USAID Adapt Asia-Pacific will provide demand-driven, targeted support to fill gaps in CCA support offered by other institutions. Support will emphasize sustained, on-the-job capacity building by project-supported specialists, but will also include twinning arrangements, where appropriate. USAID Adapt Asia-Pacific will also assist selected countries in preparing for direct access to the Adaptation Fund in the expectation that this experience will assist them in readiness for the direct access promised by the Green Climate Fund (GCF).

Key Activities:

- Provide technical assistance support to the Office of Natural Resources and Environmental Policy and Planning (ONEP). Beginning in 2012 and into 2013, the project consulted extensively with Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) and developed a mutually agreed program of support. All elements of the program build ONEP's capacity to accomplish its national policy and coordination mandate on climate change adaptation (CCA), with the objective of ultimately improving Thailand's access to climate finance. The program has progressed steadily despite a number of changes in senior management at ONEP. In 2014, USAID Adapt Asia-Pacific support will be a combination of continuing ongoing initiatives and supporting three new initiatives. The new initiatives are expected to include: (i) initial support for the identification and a readiness assessment of a possible National Implementing Entity under the Adaptation Fund; (ii) multi-year support for development of a National Adaptation Plan (with UNDP co-funding) leading to support for incorporation of CCA into the investment plans of a priority sector, probably agriculture or water; and (iii) capacity building support through direct inputs from USAID Adapt Asia-Pacific team members on a demand basis. Normally this will be in the form of short seminars and the like that help ONEP staff to tackle pressing issues.
- Twinning arrangements between the Royal Thai Government (RTG) and US Government on advancing the new climate change adaptation research strategy. In 2012, USAID Adapt Asia-Pacific support for development of Thailand's (and one of the region's) first national climate change research strategies was requested as an element of an overall package of support to ONEP, whose ultimate objective is to rationalize RTG's access to climate finance. Following consultation with USAID RDMA, USAID Adapt Asia-Pacific agreed to provide such support. The National Research Council of Thailand (NRCT) took the lead role on the RTG side, in partnership with ONEP and the Royal Institute of Thailand. The National Strategy was completed in 2013. NRCT has now taken full institutional ownership of the strategy, allocating a THB50 million budget for 2014 and, together with the five other research funding institutions in Thailand, has agreed to use the strategy for assessing the merit of future climate research proposals. NRCT would now like assistance in moving the strategy forward and has requested USAID Adapt Asia-Pacific support in helping to organize an institutional twinning arrangement with an appropriate U.S. government (USG) or state agency. The NRCT Secretary General informed USAID Adapt Asia-Pacific on numerous occasions that the RTG wishes to forge a partnership with U.S. agencies for the following reasons: (i) climate change risk management expertise (adaptive collaborative risk management) is not readily available in Thailand or the region; (ii) RTG has an agenda that aims for a longer-lasting and deeper relationship than the twinning itself, e.g. developing long-term cooperation in climate change with the University of California system; and (iii) the prestige projected by a U.S. presence would give more hope of bringing together a fractious Thai and regional professional community. Again in consultation with USAID RDMA, the State of California's Climate Change Team/Research Working Group has been identified as a possible partner. The core of a potential partnership would deal with conceptualizing and designing initial administrative and organizational procedures that will advance implementation of the research strategy as a whole, and address one or more of the following issues: (i) designing a monitoring and evaluation center; (ii) designing a national catalogue of climate change research; (iii) designing next steps for implementation of the Research Strategy, such as developing a plan for cooperative research and preparing a detailed research plan for a high-priority sector that could serve as a model for other sectors; (iv) preparing a capacity development plan; and (v) designing proposal screening criteria. More recently, NRCT has raised the possibility of support for a partnership with the U.S. Forest Service regarding climate risk management at a watershed/international environment park. In

both cases, USAID Adapt Asia-Pacific support would entail communication and coordination assistance.

Other Potential (Reserved) Activities:

- Provide V&A training and case study preparation to government officials in the Pacific. A regular feature of the project's support in developing project adaptation components is training in vulnerability and adaptation (V&A) assessments that are provided to project teams through two-day workshops. The training and case study preparation activity would open these workshops to a broader group of government officials in Samoa, Solomon Islands and Vanuatu. Case studies will be prepared following application of the V&A assessments. This would be a training workshop for planners, decision-makers, and technical staff involved in evaluating and assessing climate change projects, including those who want to do standard V&A analysis of projects.

3.3.4. DEVELOPING STANDALONE CAPACITY BUILDING PROGRAMS

The regional capacity building assessment that the project did for Asia in FY12 indicated gaps in capacity and capacity building initiatives. To help fill these gaps, USAID Adapt Asia-Pacific launched a capacity building program focused on the economics of CCA. It also supported the design of a course on urban CCA. In FY14, the project will continue support for these programs, extend a tailored version of the economics program to the Pacific, and explore the feasibility of working with other institutions in delivering a program on climate proofing infrastructure.

Key Activities:

- Building the Capacity of National Governments to Carry Out Economic Analysis of Climate Change Adaption. Eleven countries participated in the first training workshop (March 2013) at which they received introductory guidance on the topic. Ten of the teams have prepared work plans and identified case studies in the water and agriculture sectors with the help of mentors. Preparations are underway for the 2nd training workshop where teams will learn more about how economic analysis will contribute to preparation of national adaptation plans and how to analyze data using Excel and the STATA data analysis program. They will then continue data collection and analysis in their respective countries, return to Bangkok for a 3rd training workshop where they will present and critique draft reports, complete their field work, and prepare draft final case studies by the end of FY14.
- Extend the Economics of Climate Change Adaptation program to the Pacific. During the USAID Adapt Asia-Pacific Second Annual Meeting in Nadi, Fiji, interest was expressed by several countries for expansion of the program to the Pacific region. The project is now in discussion with the University of the South Pacific (USP) to develop such a course. As currently envisaged, the course would not be as expansive as that being done in Asia. That is, there is likely to be a focus on cost-benefit analysis of climate change adaptation projects, and the economic analysis of sectors, which is being done in Asia, may not be included. Additionally, the course will employ distance learning. Both of these divergences from the Asian program will help address the concern about not taxing the absorptive capacity of government staff in Pacific island countries. With USP involvement in the design and delivery of the course, the integration of the course into USP's overall curriculum will help ensure the sustainability of the program. Other partners may also be recruited, for example, the AusAID-supported Pacific Island Centre for Public Administration (PICPA), which is also housed at USP.

- Develop and deliver the course on Urban Climate Change Adaptation. The course will be finalized and delivered along with training materials to an institution of higher learning in the region that has been identified by the East-West Center and agreed by USAID Adapt Asia-Pacific and USAID. Partial assistance will be provided to that institution to deliver the course to participants that will be largely comprised of teachers/trainers from the region. This training of trainers' approach, if successful, is expected to be maintained at the regional level and would also be "translated" into the curriculum of one or two national institutions (e.g. a national university that offers adult training courses for municipal officials) and thereby be tailored for the specific needs of the selected country(ies). The expected impact of this course is to educate urban managers in the fundamental knowledge and skills associated with the assessment of climate change impacts; identification, evaluation, selection, and implementation of climate adaptation strategies, programs and projects; and creating and maintaining climate change adaptation monitoring and learning capacity. Roll-out of this activity would take place in late FY 2014 or early FY 2015 and would target municipal officers.

Other Potential (Reserved) Activities:

- Design and deliver a training component on climate proofing infrastructure. The 2012 capacity building assessment undertaken by USAID Adapt Asia-Pacific identified climate proofing of major infrastructure to be a major capacity gap among governments in the region. As it has done in the activity on economics of CCA, the project will attempt to find a partner to undertake development and delivery of a course or course component on climate proofing infrastructure. Initial contacts have been made with the Asian Development Bank, which is a major actor in the region in this field.

3.3.5. TOOLS, GUIDELINES, METHODOLOGIES

The major tool that USAID Adapt Asia-Pacific has planned in FY14 is a sourcebook that helps those responsible for identifying and designing CCA projects adequately consider how gender can best be integrated into these projects. The project plans to use the results of this work to assist the Government of the Cook Islands to develop operational guidelines for its projects. USAID Adapt Asia-Pacific also plans to work with the Climate Investment Funds Administration Unit to disseminate in the region lessons learned from the four years of implementation of the Pilot Program on Climate Resilience (PPCR).

Key Activities:

- Prepare and distribute a sourcebook on gender consideration in climate change adaptation. It is the experience of USAID Adapt Asia-Pacific and other projects/institutions, including the Climate Investment Funds (CIF), that CCA initiatives do not take adequate consideration of gender issues during project design. The project will develop an electronic sourcebook that provides a compendium of existing resources, and includes practical procedures, protocols and checklists, on gender and climate change within particular sectors and generates new knowledge on integrating gender considerations into climate change adaptation activities. The primary objectives of this sourcebook are to improve project planners' knowledge of the link between gender and climate change adaptation; equip project planners and practitioners with the tools necessary to effectively address gender considerations throughout the design, implementation, and monitoring and evaluation of climate change adaptation projects; assist the MDBs and other development agencies to harmonize and institutionalize gender more effectively; and influence the design of climate change adaptation activities that specifically target and benefit women. USAID Adapt Asia-Pacific plans to use the knowledge and materials gained

during preparation of the gender sourcebook to provide guidance to the Government of the Cook Islands in preparing operational guidelines that will assist government officials and consultants to integrate gender concerns into the preparation of climate change adaptation projects.

- Develop and deploy a screening tool for climate change adaptation with the Government of Timor Leste in collaboration with the Asian Development Bank (ADB). An opportunity has arisen to collaborate with the Government of Timor Leste and the ADB's Climate Change Adaptation Program (which to date has mobilized US\$400 million through the PPCR, GEF, and the Climate Change Fund) in developing a project-screening tool for assessing climate risks that could be used throughout the Asia-Pacific region. ADB's operational departments have separately developed guidelines for considering the likely climate change risks associated with projects that are under consideration for approval. ADB is about to undertake a process that will provide uniformity in core requirements across the institution while respecting the somewhat unique needs of the operational departments as reflected in the existing departmental guidelines. An inter-departmental working group within ADB will develop the process. ADB will provide at least 40 person-months of input to the working group. On the basis of its Letter of Cooperation (LoC) with ADB, USAID Adapt Asia-Pacific will support the working group and, once the guidelines have been finalized, assist the ADB's Pacific regional operational department in applying the screening tool in Timor Leste and in determining the kind of adaptation components that should be added to specific projects, particularly in the road sector. USAID Adapt Asia-Pacific assistance is oriented to this entry point with the Timor Leste government and the opportunity to ensure that climate change adaptation is incorporated in ADB's three-year country strategy for Timor Leste and in the portfolio of projects that will be undertaken through the three-year Country Operations Business Plan. ADB's contribution to the application of the screening tool to the preparation of the country strategy is estimated at 2 person-months. The results from the assistance to Timor Leste will then be fed into further modification of the bank-wide guidelines. This assistance will provide recipient countries with examples of well-designed projects that adequately incorporate climate change considerations into design and operation.
- Prepare case studies related to CCA project preparation and CCA finance. This activity will describe in case study form examples of cutting edge ways that governments can enhance their ability to access CCA funds. At least five case studies will be prepared. Sources will include materials/lessons from USAID Adapt Asia-Pacific-supported activities as well as materials derived from the experience of partners, e.g. lessons learned from the Pilot Program on Climate Resilience.

3.4. TECHNICAL ASSISTANCE IN PREPARING FUNDING PROPOSALS

3.4.1. IMPLEMENTATION APPROACH

USAID Adapt Asia-Pacific will build on the successful FY12 and FY13 strategic cooperation with international climate finance multilateral intermediaries to continue to open up opportunities for working directly with government agencies on project preparation. Further, the project will continue to expand its focus on climate adaptation funds to the broader adaptation financing. This means USAID Adapt Asia-Pacific, following consultations with USAID RDMA, will explore niche roles in relation to mobilization of climate finance from areas other than global external funds. Continued broadening of the definition of climate finance to encompass bilateral, private sector, foundations, and domestic public funding sources will open up new areas of adaptation funding that were not envisaged when the project was first conceptualized. A final area of emphasis will be to work closely with national and local governments on

climate change in urban areas, initially in partnerships with UNEP and ICLEI - South Asia, with the objective of taking already developed urban resilience strategies to the stage of bankable adaptation projects for financing.

3.4.2. PROJECT PREPARATION IN ASIA

During FY14, USAID Adapt Asia-Pacific will principally support project preparation efforts in Asia directly with national governments in Cambodia, Indonesia, India, Philippines, Thailand, and Nepal.

Key Activities:

- Cambodia – Climate proofing of rural community ponds. USAID Adapt Asia-Pacific will continue during FY14 to support the Government of Cambodia on the ADB Second Rural Water Supply and Sanitation Sector Project in the preparation of sub-projects to climate proof rural community ponds against the negative impacts of climate change in one province. The Government has asked USAID Adapt Asia-Pacific to initially develop design guidelines for community ponds that take into account climate change adaptation. It is envisaged that these guidelines will be used to prepare sub-projects for funding under the sector grant during the first quarter of calendar year 2014. The Government has also indicated that the guidelines may be used in designing a follow-on project to be funded by ADB.
- Indonesia - Identifying projects for Indonesia Climate Change Trust Fund (ICCTF). To date, USAID Adapt Asia-Pacific has assisted ICCTF with the development of a project selection and ranking system, improvements to its monitoring and evaluation system, and an initial foray into its fund raising strategy. The selection and ranking system was trialed at a recent workshop and stakeholders began the process of identifying and preparing projects for funding. The proposed additional assistance from USAID Adapt Asia-Pacific is to identify which projects should be given priority and to work with government agencies to formulate the highest priority projects.
- India - Urban adaptation projects in India. ICLEI - South Asia has requested cooperation with USAID Adapt Asia-Pacific to convert its Rockefeller Foundation-sponsored urban resilience strategies for three cities in India into bankable projects with climate financing. The three cities are Mysore, Bhubaneswar, and Shimla. The proposal involves taking all three cities to a pre-feasibility level according to guidelines provided by the Cities Development Initiative for Asia (CDIA), with subsequent funding possibly through the ADB or other sources.
- India - Assist National Bank for Agriculture and Rural Development (NABARD) to prepare Adaptation Fund project(s). As the first NIE in Asia and the Pacific to have received accreditation from the Adaptation Fund, NABARD is in a unique position to offer leadership for other aspiring NIEs in the region. USAID Adapt Asia-Pacific is exploring the possibility of assisting NABARD in the development of its first project proposals for the Adaptation Fund. As this activity progresses, USAID Adapt Asia-Pacific will explore the possibility of NABARD serving as a mentor in a twinning partnership.
- Philippines - Assist Department of Finance, Philippines, to prepare Adaptation Fund project. USAID Adapt Asia-Pacific assisted the Department of Finance (DoF) in examining its readiness and preparing its application for National Implementing Entity (NIE) accreditation with the Adaptation Fund (AF). DoF has prepared a response package to the last set of comments from the AF Accreditation Panel (AP), which it plans to submit for the next AP meeting in December 2013. Assuming that its application is successful, DoF will need to select

one or more projects to submit to the AF Board. USAID Adapt Asia-Pacific is in discussion with DoF regarding a potential role in the selection and formulation of projects.

- Timor Leste - Project preparation in Timor Leste. USAID Adapt Asia-Pacific will support the Government of Timor Leste, in collaboration with the UNDP Bangkok office, in the preparation of a GEF-financed project in Timor Leste titled “Strengthening Community Resilience to Climate Induced Natural Disasters in the Dili to Ainaro Road Development Corridor”. The project has three components: (i) enabling improved climate and disaster risk management; (ii) strengthened climate and disaster risk planning, budgeting and delivery; and (iii) investments in climate resilient community based adaptation measures. USAID Adapt Asia-Pacific’s support will focus primarily on the third component in working with government counterparts in the identification of community level watershed management measures, designed and implemented to reduce the direct physical impacts of high intensity rainfall events (flooding and landslides) to climate vulnerable hotspots along the Dili to Ainaro development corridor. To ensure project preparation considers gender disaggregated approaches to climate change and vulnerability assessments, Government has requested USAID Adapt Asia-Pacific’s to also provide an international gender specialist, whose principal role will be to identify gender specific activities to support the proposed adaptation measures. USAID Adapt Asia-Pacific’s cooperation will contribute directly to meeting the project’s Indicator IR 2.2 “Number of facilitated projects with a specific gender component”. The project will access GEF funding, while an additional co-financing envisaged. Government and UNDP successfully mobilized the project preparation team at an Inception Workshop on September 19, 2013.

Other Potential (Reserved) Activities:

USAID Adapt Asia-Pacific will also explore and further assess other climate change adaptation-related project preparation activities in FY14 in Asia. USAID Adapt Asia-Pacific will discuss with USAIDRDMA and bilateral missions potential engagement in the preparation of the following projects during FY14:

- Thailand - Screening of mega-projects in Thailand for climate change adaptation. USAID Adapt Asia-Pacific activities in Thailand have focused on support to the Office of Environment and Natural Resources Policy and Planning (ONEP), including development of a national climate change research strategy. Representatives from the Royal Thai Government, including staff from NESDB, have participated in USAID Adapt Asia-Pacific-supported training on the economics of climate change adaptation. USAID Adapt Asia-Pacific will pursue working with NESDB to help screen its infrastructure investment plan to determine where adaptation components may be needed. The project would subsequently work with an entry point line ministry designated by NESDB to help screen selected projects for adaptation needs and prepare projects for financing.
- Cambodia - Reducing the vulnerability of Cambodian rural livelihoods. USAID Adapt Asia-Pacific will support the Government of Cambodia, in collaboration with UNDP, in developing a specific gender activity under the rural livelihoods component of a project being prepared for Global Environment Facility (GEF) adaptation funding. When authorized, this project will access adaptation financing and additional co-financing will benefit approximately 5,000 rural households.
- Ecosystem-based urban adaptation projects in Bangladesh, Philippines, Indonesia, Nepal, Sri Lanka. The United Nations Environment Programme (UNEP) flagship program on ecosystem-based adaptation has targeted six cities in Asia: Davao and Manila in the Philippines; Khulna, Bangladesh; Kathmandu, Nepal; Colombo, Sri Lanka; and Makassar, Indonesia. National consultants have been mobilized to identify ecosystem-based adaptation (EbA) projects

as an alternative to more costly infrastructure options. USAID Adapt Asia-Pacific will help evaluate the EbA choices to ensure economically viable project proposals for financing from bi- and multilateral sources. Initially, USAID Adapt Asia-Pacific's focus will be on Davao, Khulna and Kathmandu. For Kathmandu, project funding from GEF is envisaged, while UNEP is looking at other funding sources for the other cities.

3.4.3. PACIFIC REGION PROJECT PREPARATION

USAID Adapt Asia-Pacific will follow up the recent Second Annual Meeting in Fiji with identified opportunities to work directly with governments to prepare viable adaptation projects and to assist in identifying potential sources of financing, while continuing with support for preparation of UNDP, World Bank, and ADB mediated projects.

Key Activities:

- Solomon Islands – Water Sector Adaptation Project (SIWSAP). The project is aligned to the Least Developed Countries Fund (LDCF) and Special Climate Change Fund (SCCF) focal area by reducing vulnerability to the adverse impacts of climate change, including variability at local, national, and regional level; increasing adaptive capacity to respond to the impacts of climate change; and promoting transfer and adoption of adaptation technology. USAID Adapt Asia-Pacific is supporting the Government of the Solomon Islands, in collaboration with UNDP, to prepare the Project Document for the Global Environment Fund (GEF) Board for a GEF grant with leveraged co-financing.
- Vanuatu - Adaptation to Climate Change in the Coastal Zone in Vanuatu. USAID Adapt Asia-Pacific is collaborating with the Government of Vanuatu and UNDP in preparing a UNDP/GEF compliant full-size project. The project will focus on the coastal zone at selected project sites by studying how the coastal environment and communities can be protected from climate-related threats by providing climate resilience advice through integrated community approaches to climate change adaptation. The project implementation period is for 48 months after receiving approval of the Project Document from the GEF Board.
- Samoa - Economy-wide integration of Climate Change Adaptation and Disaster Risk Management/Disaster Risk Reduction to reduce climate vulnerability of communities in Samoa. USAID Adapt Asia-Pacific will support the Government of Samoa, in collaboration with UNDP, in preparation of the project for GEF funding. When authorized, which is anticipated for the second half of calendar year 2014, the project will access adaptation financing from GEF, plus additional co-financing. USAID Adapt Asia-Pacific will support the development of two key sections of the project preparation document: (1) designing options for the climate proofing of infrastructure systems in Samoa; and (2) identifying specific gender activities to be included into the final project preparation document.

Other Potential (Reserved) Activities:

USAID Adapt Asia-Pacific will also explore and further assess other climate change adaptation-related project preparation activities in FY14 in the Pacific. USAID Adapt Asia-Pacific will discuss with USAID RDMA and bilateral missions potential engagement in the preparation of the following projects during FY14:

- Papua New Guinea - Explore options for technical support with the Government of Papua New Guinea to supplement in-house capacity gaps in the packaging of investment proposals and

other activities and in the strengthening of planning and finance agencies. During the 2013 USAID Adapt Asia-Pacific Second Annual Meeting in Fiji, several other countries, including Samoa, expressed their interest in receiving project preparation support and capacity strengthening, although details are still to be worked out.

- Solomon Islands - Explore options for technical support with Government of Solomon Islands to supplement in-house capacity gaps in the packaging of investment proposals and other activities and in the strengthening of planning and finance agencies. During the 2013 USAID Adapt Asia-Pacific annual meeting in Fiji the Solomon Islands expressed interest in receiving project preparation support and capacity strengthening, although details are still to be worked out. This will include any follow-up work for the Solomon Islands Water Sector Adaptation Project (with UNDP) started in 2013 (see above).
- Samoa - Samoa Tourism Authority Project Preparation Project. USAID Adapt Asia-Pacific may assist the Samoa Tourism Authority (STA) in identifying avenues and capacity enhancement activities to support STA to flesh out activity concepts to access climate change finance as outlined in the National Tourism Climate Change Adaptation Strategy for Samoa (NTCCASS) 2012-2017. USAID Adapt Asia-Pacific cooperation could support STA in identifying these avenues in accessing climate change finance or in exploring upcoming climate change initiatives either directly with government and/or with other institutions that link STA to climate change funding, knowledge transfer and technical assistance programs.

3.4.4. EXPLORING OTHER FUNDING OPPORTUNITIES

In the first two years of USAID Adapt Asia-Pacific, it has become clear that most developing countries do not have direct access to the global climate change adaptation funds, necessitating the project's initial approach of working with multilateral agencies, which work as intermediaries on behalf of their developing country clients. As this situation is unlikely to change significantly before the scheduled end of the project, the project will identify alternative sources of finance to enable direct work with governments in accessing these sources. Some of the approaches that will be followed in this activity include: (i) mobilizing innovative sources of finance, including domestic and national climate change funds; (ii) examining alternative sources of finance, including private sector finance and assisting with readiness for the new Green Climate Fund; and (iii) screening and tracking domestic public expenditures on climate change. Additional areas that may be worth examining and which would be determined in concert with USAID RDMA include fiscal policy changes that could mobilize additional funding and identifying bilateral funding sources willing to provide direct budget support.

Although the immediate prospects for external climate change adaptation funding are not promising, the developed country pledges of US\$100 billion per year by 2020 still create considerable anticipation on the part of developing countries. A significant proportion of this funding is intended to come from the private sector, although the mechanisms for such funding are unknown, and great hope is placed on the Green Climate Fund (GCF) which is being mobilized in Korea. While direct access has been promised for the GCF and a significant percentage of its funds will be directed towards adaptation, the accreditation procedures are not yet known. USAID Adapt Asia-Pacific will work with a few countries, possibly on the assumed similar accreditation procedures for the Adaptation Fund, on assessing their readiness for direct access, with a view to developing a general guidance document on the steps needed to facilitate direct access accreditation.

3.4.3.1. Mobilizing Innovative Sources of Finance

Key Activities:

- Supporting Samoa Climate Change Trust Fund - Explore supporting design finalization and operationalization of Samoa national climate change trust fund. USAID Adapt Asia-Pacific has helped the Government of Samoa in designing the island's trust fund under the Ministry of Finance, but its design is not yet finalized nor is it fully capitalized or operational. The Climate Resilient Fund Administration Unit (CIF AU) gave approval for the Government of Samoa to submit a proposal to use additional PPCR resources provided to Samoa as the initial allocation to the trust fund. The decision on how to use these funds is pending CIF AU review. Depending on this decision and a specific request from the Government, USAID Adapt Asia-Pacific will provide technical assistance on capitalization and operational rules for the trust fund.

Other Potential (Reserved) Activities:

- Cook Islands - Cook Islands Adaptation Fund NIE accreditation and follow up. The Cook Islands Ministry of Finance and Economic Management has requested USAID Adapt Asia-Pacific to serve as an advisor for its endeavor to become accredited as a NIE for the Adaptation Fund. The specific inputs to accommodate that request are currently under discussion.
- Supporting the Tonga Climate Change Fund - Explore supporting Tonga in strengthening the Tonga climate change fund, once the PPCR funding is secured and decisions are made on new legislation and transferring the fund to the Environment Ministry. While USAID Adapt Asia-Pacific helped to establish the climate change trust fund in Tonga and the PPCR will provide an initial capitalization, there will be a need in later years to ensure that a sustainable funding model is developed and that the fund will become the primary mechanism for pooling donor and government resources for climate change adaptation. Although USAID Adapt Asia-Pacific is not expected to undertake any immediate assistance, it will monitor the initial operation period of the trust fund (and development of separate legislation) and determine if additional assistance is warranted in 2014.
- Capture lessons learned from national climate funds in Asia-Pacific - USAID Adapt Asia-Pacific is gradually accumulating experience with the formation and implementation of national climate funds. This activity will capture the lessons learned from similar funds in other regions and from experience in the Asia-Pacific region with a view to identifying ways that national climate funds can be made more sustainable. As this activity progresses, opportunities for twinning arrangements will be explored.
- Financing Urban Climate Change Adaptation in Asia - USAID Adapt Asia-Pacific is exploring partnering with ICLEI - South Asia to trial on ground in Asia recommendations of ICLEI's White Paper on "Financing the Resilient City". A concept note has been prepared by ICLEI, which is under discussion with USAID Adapt Asia-Pacific. The concept is to prepare a financing guide for resilient cities in Asia that proposes to cover India, Indonesia, the Philippines and possibly one or two other countries. The guide envisages identifying information on available international and country-specific adaptation financing modalities, as well as adaptation financing reference tools, which will define viable financing instruments, within specific policy and legislative contexts, for institutions at the local and sub-national levels. The guide will also identify opportunities for greater coordination and collaboration among all stakeholders.
- Develop guideline on domestic financing of climate change adaptation - As USAID Adapt Asia-Pacific begins to work with CPEIRs and the Pacific Islands Forum Secretariat (PIFS) financial assessment framework, the intention is to improve on the existing CPEIR approach which focuses too heavily on poorly defined "climate-related" expenditure. As this work

unfolds, USAID Adapt Asia-Pacific will capture lessons from previous and ongoing CPEIR-type work to develop a draft guideline on domestic financing of climate change adaptation which other countries may wish to adopt. As a follow up to the discussion paper on national financing of climate change that the project prepared during FY13, one of the primary modalities of raising domestic resources is through improved fiscal options, such as carbon taxes, aviation taxes, or fuel levies. The project envisages preparing a short discussion brief that lays out the fiscal options available, the likely dimensions of the resources that could be raised, and implementation experience from those countries that have already raised such funds. This could lead subsequently to working with one or more countries to help them design and implement new fiscal measures.

- **Bilateral Funding** - Monitor bilateral funding sources and options for USAID Adapt Asia-Pacific inputs. To date, USAID Adapt Asia-Pacific has not been able to identify specific entry points for working with bilateral development partners, including other U.S. Government sources. The intention of this activity is to continuously monitor bilateral contributions to climate change adaptation in the region with a view to identifying potential partnerships with USAID Adapt Asia-Pacific. Closer cooperation with USAID missions is seen as a key avenue for this activity.

3.4.3.3. Climate Finance Screening And Tracking Tools

Key Activities:

- **Vanuatu** - USAID Adapt Asia-Pacific is in discussion with the Government of Vanuatu and UNDP, along with other development partners to support implementation of recommendations that are anticipated to come out of the Vanuatu “Strengthening Climate Change and Disaster Risk Governance” financial assessment, which comprises three principal components. USAID Adapt Asia-Pacific has discussed assisting the Government of Vanuatu’s newly established National Advisory Board (NAB) on Climate Change and Disaster Risk Reduction with implementation of the recommendations coming out of Component 2: Analysis of climate change and DRM financing baselines and options for future mechanisms to build adaptive capacity to increase the country’s access to climate finance. This component will also be supported in part by UNDP’s PRR program, and potentially the Pacific Island Forum Secretariat (PIFS) and SPC-GIZ CCCPIR. USAID Adapt Asia-Pacific’s specific area of cooperation will be determined following completion of the financial assessment, which is expected by the end of calendar year 2013.
- **Nauru Climate Change Finance Tracking Tool** - The Pacific Islands Forum Secretariat (PIFS) has applied a fiscal assessment framework in Nauru to determine current arrangements for climate change in that country, including an estimate of current climate-related expenditure. The Government of Nauru has requested that this work be followed up by development of a robust climate change finance-tracking tool, so that potential development partners will be more aware of the specific needs and financing gaps. While awaiting approval from the Government of Nauru to take this activity’s concept forward, USAID Adapt Asia-Pacific is exploring partnering options with the other regional organizations, such as the Pacific Island Forum Secretariat (PIFS) and the Suva office of UNDP.
- **Republic of the Marshall Islands (RMI) Climate Change Finance Case Study** - The Pacific Island Secretariat (PIFS), on behalf of the Government of RMI, has requested USAID Adapt Asia-Pacific assistance in conducting a CPEIR-type of climate finance assessment, similar to the recently completed PIFS-supported Nauru case study, so that they have a better idea of how much is already being spent on climate change, where it is being spent, and where specific needs

for climate change adaptation are not being met. The objective of this study is to identify new institutional mechanisms for increasing government's access to climate finance. Although RMI is a U.S. Compact country, initial support has been approved by USAID RDMA to undertake this work. For the purpose of undertaking this case study, PIFS is exploring additional partnering support and cost sharing from UNDP and the Suva-based European Union office.

Other Potential (Reserved) Activities:

- Support CPEIR work in the region - USAID Adapt Asia-Pacific will explore partnering with Government of Tuvalu and UNDP in carrying out a CPEIR-like exercise to assess different potential modalities for accessing climate change adaptation finance. USAID Adapt Asia-Pacific agreed to collaborate with UNDP in carrying out 1 or 2 CPEIRs in 2014, although the countries have not yet been finalized. As Tuvalu has requested such assistance, this may be the first country to receive such support.

3.5. OVERARCHING PROGRAM MANAGEMENT AND COORDINATION

USAID Adapt Asia-Pacific will undertake all required program management, coordination, work planning, communications and reporting requirements for successful implementation of project deliverables and outcomes to support achievement of the overall project objective. Key elements of Task 5 include:

1. Maintain robust project and financial reporting systems
2. Coordinate with bilateral missions and development partners
3. Develop outreach and communication activities to increase visibility of the project.

3.5.1. MAINTAIN ROBUST PROJECT AND FINANCIAL REPORTING SYSTEMS

Key Activities:

USAID Adapt Asia-Pacific will implement the following key activities:

- Develop and submit quarterly and annual progress report: USAID Adapt Asia-Pacific will submit technical progress reports on a quarterly and annual basis describing program implementation, including activities to date, progress towards achieved results and targets, obstacles to program implementation, and other relevant issues.
- Develop and submit performance monitoring and progress reports: The USAID Adapt Asia-Pacific team will update the Performance Management Plan (PMP) to ensure that it reflects activities planned for FY14. Based on the PMP, and as part of USAID's Performance Review and Annual Report process, USAID Adapt Asia-Pacific will submit Annual Performance Management Progress Reports in accordance with the RDMA/REO's established policies. USAID Adapt Asia-Pacific will describe milestone events that demonstrate progress towards achieving project indicator targets, highlighted with narrative success stories at the program level. Performance Management Progress Reports will consist of both narrative and quantitative sections with indicators and targets of the USAID Adapt Asia-Pacific project.
- Develop and submit Financial Reports: USAID Adapt Asia-Pacific will develop pipeline analyses and financial reports: (i) on a quarterly basis; (ii) before each contract modification; and (iii) accruals information no later than the 20th of March, June, and September of FY14, covering the periods through the end of the reporting quarter. USAID Adapt Asia-Pacific will prepare financial reports on a quarterly basis, which will describe project expenses by task.

3.5.2. COORDINATION WITH BILATERAL MISSIONS AND DEVELOPMENT PARTNERS

Key Activities:

USAID Adapt Asia-Pacific will implement the following key activities:

- Coordinate with USAID bilateral missions: In coordination with RDMA, USAID Adapt Asia-Pacific will prepare briefing documents, presentations and activity description on potential project activities, as well as organize teleconferences, briefing/debriefing meetings with USAID bilateral mission to update them on project activities and understand mission priorities and activities. USAID Adapt Asia-Pacific will also engage bilateral missions in identifying candidates for the annual forum and other potential workshops and training activities.
- Coordinate with relevant development partners: USAID Adapt Asia-Pacific was successful during years 1 and 2 in collaborating with development partners engaging in climate change adaptation work in the target countries, especially in the design and implementation of project preparation and capacity building activities, which leveraged additional funding and resources for project outcomes. USAID Adapt Asia-Pacific will continue building on these initial successes by exploring new partnership opportunities for joint activities with international organizations and donor community in the region, especially with ADB, World Bank, UNEP, SPREP, UNDP, AusAID, JICA, SIDA and GIZ.

3.5.3. DEVELOPMENT OF OUTREACH AND COMMUNICATION TOOLS AND ACTIVITIES

Key Activities:

USAID Adapt Asia-Pacific will carry out the following activities in FY14 to ensure high visibility of project activities in improving target countries' resilience to the impacts of climate change:


- Quarterly E-Newsletter on USAID Adapt Asia-Pacific and other publications: USAID Adapt Asia-Pacific will develop a quarterly E-newsletter, which will contain the latest news, updates, links and photos about the work of USAID Adapt Asia-Pacific in the Asia and Pacific regions. This will support project outreach as well as strengthen the USAID Adapt Asia-Pacific network. In addition, USAID Adapt Asia-Pacific will develop program brochures, fact sheets and posters to support program visibility and outreach.
- USAID Adapt Asia-Pacific Inclusion in USAID Communications and Outreach Activities: USAID Adapt Asia-Pacific will provide brief updates for the RDMA Weekly Environment Update, as well as other internal and external communications from USAID such as the USAID website and publications wherever appropriate. USAID Adapt Asia-Pacific will develop success stories and press releases, showing shared learning and concrete development towards increased adaptation.


4.0. FY14 TIMELINE FOR USAID ADAPTASIA-PACIFIC

TABLE 1: FY14 TIMELINE FOR USAID ADAPT ASIA-PACIFIC

TASKS/ACTIVITIES	IMPLEMENTATION SCHEDULE – FY 14-15				
	Q1	Q2	Q3	Q4	Q 5
Task One Activities					
Task Two Activities					
ADAPT-Asia-Pacific Third Annual Forum					
Task Three Activities					
Support for Training of Government Officials in Asia					
Technical Assistance Support to ONEP					
Twinning Support to NRCT					
Economics of Climate Change Adaptation in Asia					
Designing a Training Course on the Economics of Climate Change for the Pacific					
Applying Climate Change Adaptation and Urban Training Course					
Preparing Case Studies on Climate Change Adaptation Project Preparation					
Gender Considerations in Climate Change Adaptation Sourcebook					
Task Four Activities					
Continue Supporting Project Preparation under Cambodia Second Rural Water Supply and Sanitation Sector Project					
Indonesia Project Preparation for Indonesia Climate Change Trust Fund					
South Asia Urban Adaptation Project					
Building NIE Capacity of India NABARD					
Philippines Adaptation Fund Project Preparation					
Strengthening Community Resilience to Climate Induced Natural Disasters in the Dili to Ainaro Road Development Corridor, Timor Leste					
Solomon Islands Water Sector Project					
Adaptation to Climate Change in the Coastal Zone in Vanuatu					
Economy-wide Integration of CC Adaptation and DRM/DRR to Reduce Climate Vulnerability of Communities in Samoa					
Mobilizing Innovative Sources of Finance					
Strengthening Climate Change and Disaster Risk Governance in Vanuatu					
Nauru Climate Change Tracking Tool					
RMI Climate Change Finance Case Study					
Developing Climate Change Adaptation Screening Tool					
Task Five Activities					
Develop and Submit Quarterly and Annual Progress and Financial Reports					
Develop and Submit Performance Monitoring and Progress Reports					
Quarterly E-Newsletter on ADAPT-Asia-Pacific and other Publications and Communication Materials					

Notes:

 Ongoing Project Activity

 Impact Monitoring

5.0. PERFORMANCE MANAGEMENT INDICATORS AND TARGETS FOR FY14

PROGRAM ELEMENT 4.8.2. CLEAN PRODUCTIVE ENVIRONMENT			
PMP INDICATORS		TYPE	FY14 TARGETS
D.O.1	Number of stakeholders with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance	Standard Indicator	0
IR 1.1	Person hours of training completed in climate change supported by USG assistance/Number of people trained in climate change	Standard Indicator	5,000/200 people
IR 1.2	Number of institutions with improved capacity to address climate change issues as a result of USG assistance	Standard Indicator	8
IR 2.1	Number of climate change adaptation projects with facilitated access to finance	Custom Indicator	6
IR 2.2	Number of facilitated projects with a specific gender component	Custom Indicator	2
IR 2.3	Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance	Custom Indicator	100,000
IR 2.4	Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance	Standard Indicator	\$50 million
IR 2.5	Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	Custom Indicator	\$250,000
IR 2.6	Number of climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance (Custom)	Custom Indicator	2
IR 3.1	Number of regional environmental platforms created or strengthened	Custom Indicator	1

6.0. ATTACHMENT A: ACTIVITIES BY COUNTRY

COUNTRY	ACTIVITY DESCRIPTION
Bangladesh	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Cambodia	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
	Continue support to study the impact of climate change on community ponds for the ADB grant to Cambodia on Second Rural Water Supply and Sanitation Sector Project and to develop sub-projects incorporating adaptation for financing.
India	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support to ICLEI South Asia to convert its vulnerability assessments of 3 cities in India into bankable projects.
	Build the institutional capacity of India NABARD to effectively and efficiently carry out its mandate as an AF NIE.
Indonesia	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
	Provide technical assistance to the Indonesia Climate Change Trust Fund to identify and formulate priority projects.
Lao PDR	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Maldives	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.

Mongolia	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Nepal	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Philippines	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
	Provide technical assistance to the Department of Finance of the Philippines to prepare CCA related projects for submitting to Adaptation Fund.
Sri Lanka	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Thailand	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue to strengthen the capacity of Office of National Environmental Policy and Programs (ONEP) through: (1) support for identification and readiness assessment of a possible NIE; (2) supporting the development of a National Adaptation Plan; (3) providing capacity building support in climate adaptation finance work, and (4) institutional capacity building.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
	Provide twinning support to the National Research Council of Thailand.
Timor-Leste	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support in the preparation of the project proposal entitled "Strengthening Community Resilience to Climate Induced Natural Disaster in the Dili to Ainaro Road Development Corridor, Timor Leste" to be financed under GEF.
	Develop climate change screening tool for the identification of climate change adaptation projects in Timor Leste in partnership with ADB

Vietnam	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, CCA & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
Cook Islands	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP), and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Federated States of Micronesia	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Kiribati	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Marshall Islands	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Support in preparing a climate change finance case study in partnership with PIFS and potentially other regional development partners.
Nauru	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide support for development of a climate change finance tracking tool in partnership with PIFS and other regional development partners.
Niue	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Palau	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Papua New Guinea	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.

Samoa	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical assistance to the preparation of the “Samoa Economy-wide Integration of CC adaptation and DRM/DRR to Reduce Climate Vulnerability of Communities Project” for GEF funding.
	Support design finalization and operationalization of Samoa National Climate Change Trust Fund.
Solomon Islands	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue technical support to the preparation of the Solomon Islands Water Sector Project to be funded by GEF.
Tonga	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Tuvalu	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Vanuatu	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support to the government of Vanuatu and UNDP in implementing a recommendation that emerges from the “Vanuatu Strengthening Climate Change and Disaster Risk Governance” financial assessment.
	Provide technical support in the preparation of the project proposal entitled “Adaptation to Climate Change in the Coastal Zone in Vanuatu” to be financed under GEF.

7.0. ATTACHMENT B: ACTIVITY SUMMARY SHEETS

This attachment (Activity Summary Sheets) provides concise information about principal planned activities – e.g. “Key Activities”, as described in the Work Plan’s narrative sections, including background, progress and results-to-date, and planned events and expected results. USAID Adapt Asia-Pacific will update these activity sheets on a semi-annual basis and will develop similar activity sheets for new activities initiated and developed during FY14.

List of Key Activities:

Task One:

1. Development of USAID Adapt Asia-Pacific Knowledge Products
2. Implementation of Community of Practice (COP) Activities
3. Strengthening Knowledge Sharing Capacity

Task Two:

4. Organizing USAID Adapt Asia-Pacific Third Annual Forum

Task Three:

5. Support for Training of Government Officials in Asia
6. Technical Assistance Support to ONEP
7. Twinning Support for NRCT
8. Economics of Climate Change Adaptation in Asia
9. Applying Climate Change Adaptation and Urban Training Course
10. Gender Considerations in Climate Change Adaptation Sourcebook
11. Support to The Government of Timor Leste on Climate Change Adaptation Screening Guidelines in Collaboration with the Asian Development Bank

Task Four:

12. Cambodia Second Rural Water Supply and Sanitation Project, Community Ponds
13. Solomon Islands Water Sector Project (SIWSP) Preparation
14. “Adaptation to Climate Change in the Coastal Zone on Vanuatu” Project Preparation Work
15. Samoa Economy-Wide Integration of Climate Change Adaptation and DRM/DRR to Reduce Climate Vulnerability of Communities
16. India Urban Adaptation Projects
17. Building NIE Capacity of India NABARD
18. Project Preparation Work in Timor Leste
19. Strengthening Climate Change and Disaster Risk Governance in Vanuatu
20. Project Preparation for Indonesia Climate Change Trust Fund
21. Philippines Adaptation Fund Project Preparation
22. Nauru Climate Change Tracking Tool
23. RMI Climate Change Finance Case Study

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 1: DEVELOPMENT OF USAID ADAPT ASIA-PACIFIC KNOWLEDGE PRODUCTS		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific will continue to support the project's contribution to the broader climate change adaptation community, particularly in the areas of improving access to climate finance and supporting adaptation project preparation in eligible countries. It will accomplish this by publishing and producing USAID Adapt Asia-Pacific knowledge products and disseminating them widely through the USAID Adapt Asia-Pacific's website, as well as the APAN web portal's "Financing Adaptation" priority theme page. Task 1 will assist with publishing gained lessons and findings in a timely manner.</p> <p>FY13 focused on strengthening KM and web systems and strategies to support knowledge sharing activities. In FY14, Task 1 will focus on generating more of the project's knowledge products, such as producing quarterly newsletters featuring key project outputs, publishing program briefings and success stories in collaboration with Task 3 & 4, and contributing to the USAID/RDMA weekly update. These knowledge products will be widely disseminated through USAID Adapt Asia-Pacific's website and APAN's web portal.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> Developed an integrated KM platform website for APAN-USAID Adapt Asia-Pacific Wrote web articles and published project newsletters Shared adaptation knowledge products through USAID Adapt Asia-Pacific and APAN websites 		<p>Results: (as of August 2013)</p> <ul style="list-style-type: none"> IR 3.1: One (1) regional environmental platform – APAN – strengthened Produced and distributed three ADAPT newsletters to Forum alumni and project beneficiaries Wrote six web articles and one program briefing Uploaded 96 knowledge products and recent adaptation information/news on the ADAPT website
Planned Activities and Results in FY 2014		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> Develop editorial calendar each quarter to identify specific ADAPT knowledge products (web articles, program briefings, success stories, etc.) to be produced 	Q1-Q4	<ul style="list-style-type: none"> IR 3.1: One regional environmental platform (APAN) strengthened
<ul style="list-style-type: none"> Consult Task 3 and 4 Team Leaders to identify appropriate materials and produce USAID Adapt Asia-Pacific knowledge products for dissemination on USAID Adapt Asia-Pacific website and APAN web portal 	Q1-Q4	
<ul style="list-style-type: none"> Produce and publish quarterly newsletters that highlight USAID Adapt Asia-Pacific activities in the region 	Q1-Q4	

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 2: IMPLEMENTATION OF COMMUNITY OF PRACTICE (COP) ACTIVITIES		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>In FY14, Task 1 will continue piloting and strengthening its communities of practice (CoP). USAID Adapt Asia-Pacific's CoP enables government officials and key practitioners in target countries to interact with experts and with each other to gain knowledge in an online space.</p> <p>To do so, Task 1 will strengthen USAID Adapt Asia-Pacific's CoP through online live chats and also consider piloting other virtual events that are well-coordinated and publicized to boost the current level of interactivity among participants, including forum alumni. Task 1 will consider: (i) spotlighting a particular country's CCA financing challenges and successes and/or sharing donor and country perspectives, such as how to increase aid effectiveness among donors and how to increase readiness among countries in an online Q&A article format; and (ii) exploring new web-based technologies that can translate the physical marketplace carousel session into a virtual space, such as scheduled donor/country online interactive sessions. The latter option aims to replicate the success of the Development Marketplace session held during the USAID Adapt Asia-Pacific Second Annual Meeting in Fiji, June 2013. Task 1 will also continue to support CoP activities of the USAID Adapt Asia-Pacific/UNDP ECCA program by providing an online space for interactive live chat sessions between participants and experts and coordinating other outreach activities. This will be done in collaboration with the Task 3 Team Leader and the UNDP ECCA program coordinator.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none">Developed CoP implementation plan and operation manual/guidelinesConducted e-discussion with USAID Adapt Asia-Pacific First Annual Forum Alumni on CCA financing challenges and GCFConducted e-discussion in collaboration with UNDP's Pacific Solutions Exchange on CCA financing among Pacific stakeholdersConducted online chat on ECCA and summarized the discussionConducted interview with Maldives government official and produced article on CCA finance country perspective	<p>Results:</p> <ul style="list-style-type: none">IR 3.1: One regional environmental platform (APAN) strengthened	
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none">Develop quarterly CoP operational plan identifying topic, expert(s) and methodPublicize and launch CoP event among USAID Adapt Asia-Pacific stakeholders and wider CCA communityConduct quarterly CoP event and produce/distribute outcomes of the discussion	<p>Timing:</p> <p>Q1-Q4</p> <p>Q1-Q4</p> <p>Q1-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none">IR 3.1: One regional environmental platform (APAN) strengthened

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 3: STRENGTHENING KNOWLEDGE SHARING CAPACITY		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific will continue improving its outreach to the climate change adaptation community with its own knowledge products through upgrading the USAID Adapt Asia-Pacific's website, as well as strengthening the capacity of the region's leading adaptation knowledge sharing platform (APAN) to better serve key stakeholders.</p> <p>In FY14, Task 1 will maintain and upgrade USAID Adapt Asia-Pacific's website to utilize newer web technologies and promote its activities. This will include producing and posting more USAID Adapt Asia-Pacific knowledge products, setting up an automatic content feed to share related climate finance information from the APAN web portal's "Financing Adaptation" priority theme page to the USAID Adapt Asia-Pacific's website, and improving the USAID Adapt Asia-Pacific's website overall interface to enhance user experience.</p> <p>Task 1 will also seek to increase the capacity of related knowledge sharing platforms and networks in the region, through which USAID Adapt Asia-Pacific can expand its outreach. To do so, USAID Adapt Asia-Pacific's staff will participate in a workshop where other major network operators gather, seek possible collaboration and harmonization across networks, and discuss the issues with the aim of narrowing knowledge gaps including adaptation financing, technologies, private sector's involvement and others.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> Operationalized and improved USAID Adapt Asia-Pacific website Launched a renewed regional KM platform (APAN) and integrated with USAID Adapt Asia-Pacific's website Developed long-term KM strategies for increasing sustainability of APAN platform and implemented recommend actions 		<p>Results:</p> <ul style="list-style-type: none"> IR 3.1: One (APAN) regional environmental platform strengthened
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Develop plan to upgrade/revamp current USAID Adapt Asia-Pacific in consultation with Task 3 and 4 Team Leaders and the USAID Adapt Asia-Pacific team Establish arrangement with other climate KM platforms to formalize working relationships with APAN web portal Participate in a workshop to strengthen climate knowledge sharing capacity with APAN and other development partners Conduct online survey to assess the performance of the USAID Adapt Asia-Pacific website 	<p>Timing:</p> <p>Q1-Q2</p> <p>Q1-Q2</p> <p>Q2-Q3</p> <p>Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 3.1: One (APAN) regional environmental platform strengthened

TASK TWO: ANNUAL FORUM

ACTIVITY 4: ORGANIZING USAID ADAPT ASIA-PACIFIC THIRD ANNUAL FORUM		
Location: TBD	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: September 2013
<p>Description of Activity:</p> <p>In FY14, USAID Adapt Asia-Pacific will organize the third annual forum, which will build upon new developments in adaptation financing and project formulation in the region and lessons learned from the past two annual forums. The general implementation approach includes: further engagement with Asian counterparts, sharing case studies/good practices, and providing interaction between development agencies and country representatives through a marketplace session.</p> <p>The third annual forum will aim to provide a region-wide learning opportunity with special focus on challenges faced by the Asian target countries and emerging issues in accessing alternative sources of adaptation financing. Possible topics include: showcasing climate financing good practices found in Asia, as well as in the Pacific (from Task 3 and 4), innovative sources of finance (Task 4, private sector engagement, etc.). The third annual forum will also strengthen country-development partner interaction by improving the development market place concept introduced in the second annual forum.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> Organized an adaptation finance session at the APAN 3rd Adaptation Forum in Korea in March 2013 Organized the Second Annual Meeting in Fiji in June 2013 Published Meeting Proceedings, conducted post-Meeting survey 		<p>Results:</p> <ul style="list-style-type: none"> IR 1.1: 956 person hours of training completed in climate change supported by USG assistance IR 2.6: \$142,719 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives
Planned Activities and Results in FY 2014		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> Prepare concept, agenda, invitation letter, participants list and make logistical arrangement for USAID Adapt Asia-Pacific Third Annual Forum 	Q1-Q2	<ul style="list-style-type: none"> IR 1.1: 800 person hours of training completed in climate change supported by USG assistance
<ul style="list-style-type: none"> Conduct the USAID Adapt Asia-Pacific Third Annual Forum 	Q2-Q3	
<ul style="list-style-type: none"> Prepare Forum Proceedings report and evaluation summary 	Q3-Q4	

TASK THREE: CAPACITY BUILDING

ACTIVITY 5: SUPPORT FOR TRAINING OF GOVERNMENT OFFICIALS IN ASIA		
Location: Regional	Partners: Various National Governments	Start Date: April 2013
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific's 2012 regional assessment of capacity building needs in CCA for the region concluded there were a number of courses in and outside the region that would further USAID Adapt Asia-Pacific objectives if support were extended to eligible government staff. In early 2013, competitive procedures and criteria were developed, provided to USAID, and approved. Particularly targeted are officials from central ministries, e.g. Finance, Planning, Prime Minister's Office and major line ministries dealing with key climate change adaptation sectors. The project is currently offering a choice of nine training courses that include, amongst others, climate risk management in a changing environment, GIS for disaster risk management, community forestry for adaptation to climate change, and paleoflood hydrology in flood risk assessment. The program will be continued in 2014 with approximately 20 mid- to senior-level government officials receiving training primarily from Asia regional institutions. USAID Adapt Asia-Pacific's ultimate purpose in sending government officials to these training courses is to build their capacity to properly identify and develop adaptation proposals to access financing. In addition, USAID Adapt Asia-Pacific will use these trained government officials as champions to initiate project preparation and capacity building activities with their respective government institutions. In 2014, the first batch of trainees will have completed six months since the completion of their training. At that time, and again after 12 months, the trainees will be asked a series of questions in the form of an impact evaluation that will indicate whether and how the skills acquired during the training have been employed in their work. Training provided to Thai government staff (who the project can monitor more readily and immediately) has clearly led to strengthening support for USAID Adapt Asia-Pacific's objectives, as well as furthering CCA objectives within the government.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> Seven government officials were selected and sent to different training workshops on climate change adaptation 		<p>Results:</p> <ul style="list-style-type: none"> IR 1.1: 336 person hours of training completed in climate change supported by USG assistance
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Continual monitoring and selection of new and appropriate training courses Selection of new candidates Compilation of course assessments by the candidates Maintenance of database 	<p>Timing:</p> <p>Q1-Q4</p> <p>Q1-Q4</p> <p>Q3</p> <p>Q1-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 1.1: 1,120 person hours of training completed in climate change supported by USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 6: TECHNICAL ASSISTANCE SUPPORT TO ONEP		
Location: Thailand	Partners: Office of Natural Resources and Environmental Policy and Planning (ONEP)	Start Date: June 2012
<p>Description of Activity:</p> <p>Beginning in 2012 and continuing into 2013, USAID Adapt Asia-Pacific consulted extensively with Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) and developed a mutually agreed program of support. All elements of the program build ONEP's capacity to accomplish its national policy and coordination mandate on climate change adaptation (CCA), while improving its access to climate finance.</p> <p>In 2014, USAID Adapt Asia-Pacific support will be a combination of continuing ongoing initiatives and three new initiatives. The new initiatives include:</p> <ul style="list-style-type: none"> • Initial support for the identification and a readiness assessment of a possible National Implementing Entity under the Adaptation Fund. Project support will be contingent on ONEP taking a leading role in the process. • Support for development of a National Adaptation Plan (with UNDP co-funding) leading to support for incorporation of CCA into the investment plans of a priority sector, probably agriculture or water. • Capacity building support through direct inputs from USAID Adapt Asia-Pacific team members on a demand basis. Normally this will be in the form of short seminars and the like that help ONEP staff to tackle pressing issues. • Support in helping to coordinate ONEP/OCCC's work on community-based adaptation, ecosystem-based impact assessment, and Thailand's access to climate financing, as well as inter-institutional coordination and communication and related tasks. 		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> • This activity has included support for developing background information relevant to involvement in UNFCCC activities, community-based adaptation and in ecosystem-based adaptation, and facilitating Thailand's role in coordinating climate change action in ASEAN. It also included support to ONEP and two other government agencies for development of the country's first national climate change research strategy, which has been completed and accepted by the government. It also included online training for ONEP staff in areas under their direct responsibility 		<p>Results:</p> <ul style="list-style-type: none"> • IR 1.1: 160 person hours of training completed in climate change supported by USG assistance • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Provide capacity building support in the field of communication, research and community engagement • NIE support • NAP development • Begin support for sector NAP • Direct inputs by USAID Adapt Asia-Pacific team to ONEP capacity building 	<p>Timing:</p> <p>Q1-Q4</p> <p>Q1-Q2</p> <p>Q1-Q4</p> <p>Q4</p> <p>Q1-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 160 person hours of training completed in climate change supported by USG assistance • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance • IR 2.6: \$100,000 from non-USG sources mobilized and applied to support project preparation facility objectives

TASK THREE: CAPACITY BUILDING

ACTIVITY 7: TWINNING SUPPORT TO NRCT		
Location: Thailand	Partners: National Research Council of Thailand, U.S. Government Agency	Start Date: June 2012
<p>Description of Activity:</p> <p>In 2013, following consultation with USAID/RDMA, USAID Adapt Asia-Pacific supported the National Research Council of Thailand (NRCT), together with ONEP and the Royal Institute of Thailand, in preparing Thailand's first national climate change research strategy. Support was provided with the realization that designing high quality climate change adaptation project proposals, and thus enhancing the ability of these proposals to effectively compete for scarce national and international climate change finance requires a strong evidence base. The strategy builds on two decades of climate change research in Thailand and reflects the priorities identified by a range of stakeholders during the consultations undertaken during its preparation. It also builds on an analysis of trends and priorities in international climate change research that is relevant to Thailand. The strategy includes a series of 9 key research programs and options for strengthening the institutional base for monitoring and assessment. The 9 programs have also been included in the draft National Climate Change Action Plan.</p> <p>NRCT has now taken full institutional ownership of the strategy. They would like to follow on this work with an institutional twinning arrangement with an appropriate US government or state agency and has requested USAID Adapt Asia-Pacific for assistance in arranging this. The twinning arrangement would help NRCT in conceptualizing and designing initial administrative and organizational procedures that will help advance implementation of the Research Strategy as a whole. Specific activities could include one or more of the following: (i) designing a monitoring and evaluation center that will coordinate with concerned government, academic, NGO and private sector entities in serving as a national clearinghouse and monitoring/assessment center for climate change research in Thailand; (ii) designing a catalogue of climate change research in Thailand; (iii) design next steps for implementation of the Research Strategy, such as developing a plan for cooperative research and preparing a detailed research plan for a high priority sector that could serve as a model for other sectors in the future; (iv) preparing a capacity development plan for climate change research in Thailand; (v) developing screening criteria for government financing of research proposals. The proposed topics for the envisaged twinning arrangements with NRCT will assist the Royal Thai Government to rationalize its decision-making processes for improving access to climate adaptation financing.</p>		
Planned Activities and Results in FY 2014		
Planned Activities: <ul style="list-style-type: none"> Thai stakeholders agree on the major objectives and outcomes they would like to see from the twinning Assuming these objectives/outcomes are in line with project objectives, assistance provided in identifying and contacting appropriate potential US partner(s) Dialogue, preparation and signing of letter of agreement between the two parties Undertake formal twinning activities 	Timing: <ul style="list-style-type: none"> Q1 Q1 Q2 Q2-Q4 	Expected Results: <ul style="list-style-type: none"> IR 1.2. One institution with improved capacity to address climate change issues as a result of USG assistance IR 2.6: \$40,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives

TASK THREE: CAPACITY BUILDING

ACTIVITY 8: ECONOMICS OF CLIMATE CHANGE ADAPTATION IN ASIA		
Location: Regional	Partners: UNDP, Yale University, Asian Development Bank	Start Date: May 2012
<p>Description of Activity:</p> <p>The capacity building (CB) assessment carried out by USAID Adapt Asia-Pacific in 2012 clearly identified the economics of CCA as one of general interest that currently is not being well served in the region. In conjunction with UNDP, Yale University, and the Asian Institute of Technology, a concept paper was prepared by USAID Adapt Asia-Pacific and revised in July 2012. A regional consultative meeting of governments from Asia in October 2012 resulted in consensus on the overall objectives of the program, i.e. to train a cadre of government staff at the national, subnational and sector levels who can produce high quality economic analyses of standalone CCA projects and investment projects with adaptation components, and who can undertake sector economic analysis in the water and agriculture sectors. The products of the program will be used in two ways, the first to develop a university-level course on economics analysis of CCA, and the second to integrate economic analysis of CCA into future national adaptation plans and project preparation activities. The program is expected to be implemented over a period of about 24 months.</p> <p>The overall training program is expected to meet the original schedule for completion during the first quarter of FY 2015. USAID Adapt Asia-Pacific with its partners will deliver two regional workshops in 2014. The first workshop, scheduled for April/May will provide country team members with detailed training on economic analysis of projects and sectors based on a critique by Yale trainers and the expert mentors of the field work undertaken by each country team. At the second workshop, scheduled for September/October, each country team will present their draft final reports demonstrating how cost-benefit analysis and sector economic analysis has been applied to real life situations in their respective countries; the presentations will be made to a select group of decision makers from the participating countries. The reports will be finalized in the first quarter of FY 2015.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> Eleven countries participated in the first training workshop (March 2013) at which they received introductory guidance on the topic. Eventually 10 country teams were formed. All the teams have prepared work plans and identified case studies in the water and agriculture sectors Data sheets for the project and sector levels have been prepared. Mentors were recruited and are assisting country teams. The first "live chat" was conducted among the countries and Yale University counterparts in the US. Preparations are underway for the 2nd training workshop in early October 2013. 		<p>Results:</p> <ul style="list-style-type: none"> IR 1.1: 2,004 person hours of training completed in climate change supported by USG assistance IR 2.6: \$57,229 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives.
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> 3rd training workshop Field work on water and agriculture projects/sectors 4th training workshop 	<p>Timing:</p> <p>Q3</p> <p>Q1-Q3</p> <p>Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 1.1: 3,200 person hours of training completed in climate change supported by USG assistance IR 1.2: Two institutions with improved capacity to address climate change issues as a result of USG assistance IR 2.6: \$120,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives

TASK THREE: CAPACITY BUILDING

ACTIVITY 9: APPLYING CLIMATE CHANGE ADAPTATION AND URBAN TRAINING COURSE		
Location: Regional	Partners: East-West Center, Regional institutions of higher learning(TBC)	Start Date: May 2012
<p>Description of Activity:</p> <p>In 2012, USAID Adapt Asia-Pacific undertook a desk study to assess regional capacity building needs within the context of the project's goals and objectives. The study identified three major areas in which USAID Adapt Asia-Pacific might make an important contribution regionally to addressing capacity gaps in the region. Climate change adaptation in the context of urban management and planning was among these. Subsequently, a more detailed assessment of capacity building activities concerning climate change adaptation in an urban setting confirmed the overall findings of the assessment, but it also found that a number of urban/climate change adaptation activities are occurring in the region. Consequently, it is necessary to ensure we have a clear and comprehensive understanding of what has been done and is being done or proposed in this field, and based on that, to identify the niche that USAID Adapt Asia-Pacific can fill before going on to design the proposed course on urban and climate change adaptation.</p> <p>The East-West Center will initially provide USAID Adapt Asia-Pacific with: (i) an identification of major gaps in training programs available to government staff in the region that address urban CCA; (ii) a description of a training course that would help to address the identified gaps; (iii) a clear understanding of the main target(s) for the training, both in terms of course participants and learning institutions that would be best placed to deliver the course; and (iv) a detailed draft course outline, work program and timeline for completion of the course design and materials. The final course design and materials will be prepared following a review of the draft course outline and will then be incorporated into the curriculum of one or more universities and/or other institutes of higher learning.</p> <p>In 2014, the initial course design will be sent for international peer review, modified and then a detailed course and materials will be prepared and provided to one or more regional learning institutions to deliver to participants that will be largely comprised of teachers/trainers from the region. This training of trainers' approach, if successful, is expected to be maintained at the regional level and would also be "translated" into the curriculum of one or two national institutions (e.g. a national university that offers adult training courses for municipal officials) and thereby be tailored for the specific needs of the selected country(ies). The latter activity would take place in late FY 2014 or early FY 2015 and would target municipal officers.</p>		
Activities and Results in FY 2013		
<p>Main Activities:</p> <ul style="list-style-type: none"> • A summary description of the urban adaptation training landscape and a description of key institutions were prepared. • A final report was prepared that analyzed this information, identifying priority training needs, suitable institutional homes for the course, and a detailed scope of work for completing the course design 		<p>Results: None to date.</p>
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Finalize draft of course outline • Carry out international peer review • Revise course outline, as necessary, and undertake final design of the course and prepare course materials • Transfer the course and course materials to the selected regional institution • Deliver the course as a test case at the selected regional institution • If the regional test case is successful, translate this into tailored programs for one or two countries 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1</p> <p>Q2</p> <p>Q3</p> <p>Q4-FY15</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 1,200 person hours of training completed in climate change supported by USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 10: GENDER CONSIDERATIONS IN CLIMATE CHANGE ADAPTATION SOURCEBOOK		
Location: Regional	Partners: Climate Investment Fund (CIF), Asian Development Bank (ADB)	Start Date: August 2013
<p>Description of Activity:</p> <p>As cross-cutting themes, USAID Adapt Asia-Pacific promotes among others, regional networking and gender considerations. It is the experience of the project and other projects/institutions, that climate change adaptation (CCA) initiatives do not take adequate consideration of gender issues during project design. For example, in 2012, the MDB's Climate Investment Funds (CIF) were reviewed, including the gender aspects. One of the major barriers identified is the lack of capacity on approaches that link gender to climate change and specific sectors. It was also revealed that very few (25%) of the projects developed included gender indicators. There was a call for cooperation to harmonize the collective climate change/gender approach in relation to CIF implementing partners and the establishment of a consistent process to include gender issues in all planning, monitoring and evaluation.</p> <p>USAID Adapt Asia-Pacific will develop an electronic sourcebook that provides a compendium of existing resources on gender and climate change within particular sectors and generates new knowledge on integrating gender considerations into climate change adaptation activities. The primary objectives of this sourcebook are to improve project planners' knowledge of the link between gender and climate change adaptation; equip project planners and practitioners with the tools necessary to effectively address gender considerations throughout the design, implementation, and monitoring and evaluation of climate change adaptation projects; assist the MDBs and other development agencies to harmonize and institutionalize gender more effectively; and influence the design of climate change adaptation activities that specifically target and benefit women. USAID/Washington, the US State Department, UNDP, CIF, and ADB will provide staff to serve as members of a technical advisory group that will review drafts of the sourcebook</p>		
Planned Activities and Results in FY 2014		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Produce 1st draft and distribute for comment • Incorporate comments into draft final • Complete quality control and upload the sourcebook 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q2</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.5: One climate mitigation and/or adaptation tool, technology, and methodology developed, tested, and/or adopted as a result of USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 11: SUPPORT TO THE GOVERNMENT OF TIMOR LESTE ON CLIMATE CHANGE ADAPTATION SCREENING GUIDELINES IN COLLABORATION WITH THE ASIAN DEVELOPMENT BANK		
Location: Regional	Partners: Government of Timor Leste; Asian Development Bank	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>An opportunity has arisen to collaborate with ADB's Climate Change Adaptation Program (which to date has mobilized \$400 million through the PPCR, GEF, and the Climate Change Fund) in developing a project screening tool for assessing climate risks which could be used throughout the Asia-Pacific region. In the development of this tool, a draft version will be tested with the Government of Timor Leste, with the expectation that at least one road sector project in that country will be prepared incorporating the recommended adaptation interventions. This assignment will focus on (i) expanding tools for climate risk management at sector and project levels, including an online risk screening tool; and (ii) integrating climate change adaptation into sector road maps and project design. The tool(s) will have wider regional application as well as specific incorporation into Timor Leste's planned investment program over the next 3 years.</p>		
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Reach agreement with Timor Leste and ADB on the assistance to be provided • Commence preparation of guidance manual • Apply guidance manual to Country Strategy for Timor Leste and to a specific road sector project • Feedback results to ADB for use across all regions 	<p>Timing:</p> <p>Q1</p> <p>Q1-Q2</p> <p>Q1-Q3</p> <p>Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.5: One climate mitigation and/or adaptation tool, technology, and methodology, developed, tested, and/or adopted as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 12: CAMBODIA SECOND RURAL WATER SUPPLY AND SANITATION PROJECT, COMMUNITY PONDS		
Location: Cambodia	Partners: Government of Cambodia (Ministry of Rural Development), Asian Development Bank	Start Date: February 2013
<p>Description of Activity:</p> <p>During FY14, USAID Adapt Asia-Pacific will continue support to the Government of Cambodia, Ministry of Rural Development (MRD) on the Second Rural Water Supply and Sanitation [RWSSP2] (an Asian Development Bank (ADB) sector grant) in the formulation of design guidelines and the preparation of sub-projects to adapt rural community ponds in one province (and potentially an additional four) to the negative impacts of climate change. The Government has requested USAID Adapt Asia-Pacific to develop design guidelines for community ponds that take into account climate change adaptation, including a specific gender component. Subsequently, these guidelines will be used to prepare future sub-projects (tranche 4 and 5) for funding under the ADB sector grant. USAID Adapt Asia-Pacific has recruited one international and two national consultants to carry out the required study.</p> <p>The team will study how climate change is affecting communities dependent on the use of community ponds and recommend appropriate adaptation measures to make these ponds more productive and sustainable. Following site visits to selected communities in the dry and wet seasons the team will prepare viable sub-projects (incorporating adaptation) for financing, mostly under the sector grant. The team will apply an integrated approach using social, gender, infrastructure, environment, institutional (and other sector) systems to design for and adapt to future climate change threats and impacts to the ponds using a climate change Vulnerability and Adaptation assessment tool. This tool will be used by MRD by including it into climate change management guidelines for the design of future community water supply ponds.</p>		
Activities and Results in FY 13		
<p>Main Activities:</p> <ul style="list-style-type: none">• Complete dry season field visits; end of April 2013• Inception/first Progress Report updated; end of August 2013• Recruit national gender consultant; mid-September 2013• Field survey visit – wet season; 2nd half September 2013		<p>Results: None to date.</p>
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none">• Roundtable workshop: (present the results of the 11 ponds study)• Interim Report -- present climate change management guidelines• Decision on whether the designs for 11 ponds can be funded, if so from which source• Prepare Final Design Report of tranche 5 sub-projects	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1</p> <p>Q2</p>	<p>Expected Results:</p> <ul style="list-style-type: none">• IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance• IR 2.5: One climate mitigation and/or adaptation tool, technology, and methodology, developed, tested, and/or adopted as a result of USG assistance – vulnerability assessment tool

TASK FOUR: PROJECT PREPARATION

ACTIVITY 13: SOLOMON ISLANDS WATER SECTOR PROJECT (SIWSAP) PREPARATION		
Location: Solomon Islands	Partners: Ministry of Environment, Climate Change, Disaster Management and Meteorology and Ministry of Mines, Energy and Rural Electrification; UNDP	Start Date: April 2013
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific is supporting the Government of the Solomon Islands, in collaboration with UNDP, to prepare a project that seeks to improve the resilience of water resources to the impacts of climate change in order to improve health, sanitation and quality of life, and sustain livelihoods in seven island communities. The USAID Adapt Asia-Pacific will work alongside Government officials and the UNDP-hired Project Preparation Team (PPG) team to carry out vulnerability assessments of 7 project sites, which will contribute to the formulation of a LDCF/GEF compliant project document (ProDoc). It is expected that the project will strengthen the vulnerable physical, natural and social assets of the selected project sites in response to climate change impacts. The project will also strengthen awareness of climate risk reduction processes at the village level. USAID Adapt Asia-Pacific's involvement with the various government stakeholders will increase their knowledge and understanding of risk through vulnerability assessments, which should influence government planning systems, opening up further opportunities for engaging with the Government. Through this project preparation activity, climate change "champions" within Government will be identified for subsequent direct involvement in USAID Adapt Asia-Pacific capacity building programs. Through policy dialogue with the Government future opportunities for USAID Adapt Asia-Pacific in the area of project preparation will also be identified.</p>		
Activities and Results in FY 13		
<p>Main Activities:</p> <ul style="list-style-type: none">• Participate in the inception workshop in Honiara with government officials and other project stakeholders; contribute to the discussions and work plan; April 2013• Conduct V&A assessments on 3 project sites; April/May 2013• Submission of the V&A report for 3 project sites; End May 2013• Conduct V&A assessment on 4 project sites; June/July 2013• Submission of the V&A report for 4 project sites; September 2013	<p>Results: None to date.</p>	
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none">• Submission of Synthesis Report of the total USAID Adapt Asia-Pacific project input• Review and edit USAID Adapt Asia-Pacific inputs to incorporate into Final Draft ProDoc• Support responding to GEF comments on the ProDoc	<p>Timing</p> <p>Q1</p> <p>Q1</p> <p>Q2</p>	<p>Expected Results:</p> <ul style="list-style-type: none">• IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance• IR.2.1: One (1) climate change adaptation project approved or in the pipeline with facilitated access to finance• IR.2.3: Approximately 20,000 people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance• IR. 2.4: \$47,105,000 investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 14: "ADAPTATION TO CLIMATE CHANGE IN THE COASTAL ZONE IN VANUATU" PROJECT PREPARATION WORK		
Location: Vanuatu	Partners: Department of Environmental Protection and Conservation and the Vanuatu Meteorological and Geo-hazards Department (VMGD), of the Government of Vanuatu; UNDP	Start Date: August 2013
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific is assisting the Government of Vanuatu, in collaboration with UNDP, to prepare a UNDP/GEF compliant full-size project. The project will focus on the coastal zone of several of Vanuatu's islands at 6 project sites by developing project activities to demonstrate how the coastal zone environment and communities can be protected from climate-related threats through climate resilient integrated community approaches to climate change adaptation. The project aims to improve the resilience of the coastal zone in Vanuatu to the impacts of climate change in order to sustain livelihoods, food production and preserve/improve the quality of life in targeted vulnerable areas. To achieve this aim, the project will implement a range of interventions to: a) improve the adaptive capacity of communities to climate change impacts through integrated approaches and community actions in the restoration of productive coastal ecosystems and implementation of applicable concrete adaptation measures; b) reduce exposure of coastal dwellers to climate hazards and risks through the installation and maintenance of an early warning system; c) improve climate-related governance at the national, provincial and village levels to ensure sustainability and replication of successful climate change adaptation measures; and d) increase awareness about climate change perils in general and adaptation in the coastal zone, in particular, to increase resilience of the general population to climate change impacts. Working alongside Government officials and the UNDP Project Preparation Grant (PPG) team, USAID Adapt Asia-Pacific is engaging three consultants (one national infrastructure and engineering expert and two international experts: one in gender and the other in integrated coastal management). USAID Adapt Asia-Pacific's involvement with the various government stakeholders will increase knowledge and understanding of risk through vulnerability assessments, which should influence Government planning systems with the possibility of further engaging with the Government in future project preparation activities. Through policy dialogue with the Government, the project will identify climate change "champions" who may be able to participate in capacity building programs supported by USAID and others.</p>		
Activities and Results in FY 13		
<p>Main Activities:</p> <ul style="list-style-type: none"> Recruitment and mobilization of 3 consultants to participate in the inception workshop in Port Vila with project stakeholders. Contribute to the discussions and work plan; August 2013 Commence consultation with stakeholders in Port Vila and finalize site selection in readiness for site visits; September 2013 Prepare the project inception report to inform progress of the project and inform stakeholders of any issues and changes in the project framework 		Results: None to date.
Planned Activities and Results in FY 14		
Planned Activities:	Timing	Expected Results (PMP Indicators):
<ul style="list-style-type: none"> Conduct a rapid Vulnerability and Adaptation assessment, including consultation at 6 project sites Conduct environmental/infrastructure, gender and social inclusion analysis at 6 project sites Prepare an interim report to report the findings of the site assessments, consultation, and recommendations for design of the 6 project sites Preparation of the final report to be amalgamated into the final ProDoc Review and edit by USAID Adapt Asia-Pacific 	<ul style="list-style-type: none"> Q1 Q1 Q1 Q2 Q2 	<ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance IR 2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance IR 2.2: One facilitated project approved or in the pipeline with a specific gender component IR 2.3: Approximately 35,000 people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.4: \$42 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 15: SAMOA ECONOMY-WIDE INTEGRATION OF CLIMATE CHANGE ADAPTATION AND DRM/DRR TO REDUCE CLIMATE VULNERABILITY OF COMMUNITIES		
Location: Samoa	Partners: Ministry of Natural Resources and Environment (MNRE); UNDP	Start Date: September 2013
<p>Description of Activity:</p> <p>The project involves supporting the Government of Samoa's Ministry of Natural Resources and Environment (MNRE), in collaboration with UNDP, to take an economy-wide approach to the integration of climate change adaptation and disaster risk management/disaster risk reduction to reduce climate vulnerability of communities in Samoa. Main activities comprise: (i) strategic integration of climate change adaptation and DRM in national policy frameworks and development planning through an economy-wide approach; (ii) enhance resilience of communities as first responders of climate change-induced hazards; and (iii) monitoring and evaluation and knowledge management. This project is aligned to Samoa's Least Developed Countries Fund (LDCF) focal area to: (i) reduce vulnerability to the adverse impacts of climate change, including variability at local, national, regional and global level; (ii) increase adaptive capacity to respond to the impacts of climate change, including variability; and (iii) promote the transfer and adoption of adaptation technology. The project will also promote collective livelihood initiatives in the context of village planning for resource distribution, using approaches that are participatory, gender-inclusive, and multi-sectorial. The main project output is to prepare a LDCF/GEF compliant project proposal. The assignment commenced in October 2013 and will be completed for GEF financing approval in early 2014. USAID Adapt Asia-Pacific is providing one infrastructure specialist and one gender specialist working alongside Government officials and the Project Preparation Team (PPG) over a period of 6 months. The key role for USAID Adapt Asia-Pacific is to work alongside Government counterparts in identifying feasible adaptation measures that promote the resilience of key assets to climate change induced hazards, including full budgets and a preliminary implementation schedule where opportunities could be found for potential financing. The current strong connection with Government counterparts has been achieved through previous work in collaboration with UNDP and World Bank project preparation teams in Samoa.</p>		
Planned Activities and Results in FY 14		
Planned Activities:	Timing	Expected Results:
<ul style="list-style-type: none"> Participate in Inception Workshop and follow-on site field visits 	Q1	<ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance
<ul style="list-style-type: none"> Prepare situation analysis reports of key assets and their functions, status, and vulnerability to climate risks of selected rural and coastal communities to support formulation of the project document (ProDoc) 	Q1	<ul style="list-style-type: none"> IR.2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance
<ul style="list-style-type: none"> Prepare detailed reports to identify feasible adaptation measures that promote the resilience of key assets to climate change induced hazards, including full budgets and a preliminary implementation schedule (proposed feasible solutions will be highlighted in the final ProDoc) 	Q2	<ul style="list-style-type: none"> IR.2.2: One facilitated climate change adaptation project approved or in the pipeline with a specific gender component
<ul style="list-style-type: none"> Provide summaries of stakeholder consultations on identified risks and options for managing risks of climate change hazards on key assets 	Q2	<ul style="list-style-type: none"> IR.2.3: Around 50,000 people benefitting from a climate change adaption project approved or in the pipeline as a result of USG assistance
<ul style="list-style-type: none"> Provide inputs into the project's Strategic Results framework focusing on outputs that address promoting the resilience of infrastructure 	Q2	<ul style="list-style-type: none"> IR. 2.4: \$195 million of investment leveraged in U.S. dollars, from private and public sources, for climate change
<ul style="list-style-type: none"> Review and provide inputs, as directed by Team Leader, to finalize project documentation (e.g. ProDoc, CEO Endorsement Template, and AMAT Framework) 	Q2	

TASK FOUR: PROJECT PREPARATION

ACTIVITY 16: INDIA URBAN ADAPTATION PROJECTS		
Location: India	Partners: City, State and National Governments, India; ICLEI-South Asia; Cities Development Initiative for Asia (CDIA)	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>ICLEI-South Asia, with financial support from the Rockefeller Foundation, has assisted three cities in India (Bhubaneswar, Mysore, and Shimla) to develop climate resilience strategies based on an assessment of climate threats and anticipated impacts on vulnerable urban systems and social groups. These three cities now plan to take this initiative through to the financing of specific climate change adaptation projects by: (i) prioritizing adaptation interventions identified in the strategies; (ii) identifying funding to implement specific adaptation projects for the three cities with resilience strategies; and (iii) conducting prefeasibility studies that would enable these cities to proceed to a full feasibility study and financing for particular investment opportunities.</p> <p>In parallel, ICLEI-South Asia is presently in discussion with the Manila-based Cities Development Initiative for Asia (CDIA) to collaborate on ICLEI-South Asia's proposed initiatives regionally. A draft MoU is under discussion for this purpose.</p> <p>ICLEI-South Asia has requested cooperation with USAID Adapt Asia-Pacific to develop its resilience strategies in the three cities in India into bankable projects. USAID Adapt Asia-Pacific proposes to support ICLEI - South Asia to take all three cities to a pre-feasibility level according to guidelines provided by CDIA, with the objective of identifying downstream adaptation financing from bi- and multilateral sources and national government programs. A preliminary step will involve using CDIA's project prioritization tool kit to identify the priority interventions that should undergo pre-feasibility analysis.</p>		
Planned Activities and Results in FY 14		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> Obtain approvals from USAID/India and USAID RDMA to move forward with this activity Confirm agreement of three Indian cities to work with CDIA and ICLEI-South Asia, and seek State and National Government approval Agree on specific CDIA approach to use to move toward securing financing, including timeframe, ToRs, etc. Agree on details of tripartite agreement between USAID Adapt Asia-Pacific, ICLEI-South Asia and CDIA 	<ul style="list-style-type: none"> Q1 Q1 Q1 Q1 	<ul style="list-style-type: none"> IR 2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance IR 2.3: XXX (TBD) people benefitting from climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.4: XXX (TBD) investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance
<ul style="list-style-type: none"> Draft and sign tripartite agreement Commence and carry out project prioritization and prefeasibility study (PFS) in 1-3 Indian cities 	<ul style="list-style-type: none"> Q1 Q2-Q4 	

TASK FOUR: PROJECT PREPARATION

ACTIVITY 17: BUILDING NIE CAPACITY OF INDIA NABARD		
Location: India	Partners: NABARD	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>India's National Bank for Agriculture and Rural Development (NABARD) is Asia-Pacific's first organization to achieve accreditation as a national implementing entity (NIE) for the UNFCCC Adaptation Fund (AF). Following in-depth discussions with NABARD in August 2013 in Mumbai, USAID Adapt Asia-Pacific agreed, subject to the approval of USAID/RDMA and USAID/India, to assist NABARD over the next 2-3 years build the institutional capacity to effectively and efficiently carry out its mandate as an AF NIE. Specifically, NABARD requested USAID Adapt Asia-Pacific's immediate assistance to develop a vulnerability methodology for project preparation and a tool for evaluating and prioritizing potential projects for AF financing. USAID Adapt Asia-Pacific has prepared draft ToRs for both tasks and circulated them to NABARD for its review and comment. With the envisaged support from USAID Adapt Asia-Pacific and other development partners, NABARD is in a unique position to offer leadership for other aspiring NIEs in the region through possible twinning arrangements.</p>		
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Support development of methodologies for vulnerability assessment and for project evaluation: • Finalize draft ToRs for vetting and discussing with NABARD • Draft MoU for USAID Adapt Asia-Pacific cooperation • Develop methodology for carrying out a vulnerability assessment for project preparation and a tool for evaluating and prioritizing potential projects for AF financing 	<p>Timing:</p> <p>Q1-Q2</p> <p>Q1</p> <p>Q1</p> <p>Q2-Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 18: PROJECT PREPARATION WORK IN TIMOR LESTE		
Location: Timor Leste	Partners: Ministries of Social Solidarity; Public Works; Agriculture and Fisheries; and Trade, Industry and Environment; United Nations Development Programme (UNDP)	Start Date: September 2013
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific will support the Government of Timor Leste in preparing a bankable climate change adaptation project in collaboration with UNDP. The , “Strengthening Community Resilience to Climate Induced Natural Disasters in the Dili to Ainaro Road Development Corridor, Timor Leste ” project will bring additional US\$5.25 million GEF funds (plus approximately US\$78.7 million co-financing) to Timor Leste under the Least Developed Country Fund (LDCF) initiative. The project will have three components: 1) enabling improved climate and disaster risk management; 2) strengthened climate and disaster risk planning, budgeting and delivery; and 3) investments in climate resilient community based adaptation measures. USAID Adapt Asia-Pacific’s contribution to this project preparation activity will focus primarily on the third component. This climate change adaptation project aims to strengthen adaptive capacity of national and regional centers and networks in the target regions and ensure active participation of targeted population groups in adaptation and risk reduction awareness activities.</p> <p>In support of component 3, USAID Adapt Asia-Pacific will provide technical assistance to the Government of Timor Leste by engaging two key international consultants for the project preparation process. USAID Adapt Asia-Pacific’s International Natural Resource Management/Ecosystems Specialist will assist the project preparation team with collecting necessary data and designing sustainable ecosystem/natural resource management tools and mechanisms to be incorporated into the final Project Document. The International Gender Specialist will collect data on gender issues and design a special gender component to be included into the final project documents.</p>		
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Initial field missions conducted in Timor Leste to collect background documents and necessary data USAID Adapt Asia-Pacific’s consultants work with the Project Preparation Team on the design of the full-scale project documents Final mission organized to Timor Leste Full-scale project preparation document finalized and submitted for GEF’s approval 	<p>Timing:</p> <ul style="list-style-type: none"> Q1 Q1-Q2 Q2 Q2-Q3 	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance IR 2.1: One climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.2: One facilitated project approved or in the pipeline with a specific gender component IR 2.3: Approximately 50,000 people benefiting from the climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.4: Approximately \$83 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance.

TASK FOUR: PROJECT PREPARATION

ACTIVITY 19: STRENGTHENING CLIMATE CHANGE AND DISASTER RISK GOVERNANCE IN VANUATU		
Location: Vanuatu	Partners: UNDP, Government of Vanuatu	Start Date: FY14 Q2
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific has agreed in principle to partner with the Government of Vanuatu and UNDP to support carrying out of the Vanuatu "Strengthening Climate Change and Disaster Risk Governance" financial assessment. The purpose of this activity is to strengthen the capacity of the Government of Vanuatu's newly established National Advisory Board (NAB) on Climate Change and Disaster Risk Reduction designed to improve coordination and governance surrounding the threats posed to its people, environment and assets. The project, to be led by a NAB Steering Committee and supported by a PMU, will comprise three principal components, which will be supported by multiple development partners and will include the following components:</p> <ul style="list-style-type: none"> • Component 1: Analysis of Risk Governance Capacity and Needs from national to local levels (vertically) and across all Government and other stakeholders (horizontally). This component will be supported through UNDP's Pacific Risk Resilience (PRR) program. • Component 2: Analysis of climate change and DRM financing baselines and options for future mechanisms. This component will be supported in part by UNDP's PRR program, and potentially the Pacific Island Forum Secretariat (PIFS), SPC-GIZ CCCPIR and USAID Adapt Asia-Pacific. • Component 3: Review of legislative aspects of DRR-CCA in Vanuatu, with a focus on providing amendments to the Disaster Act and Meteorology Act. This component will be supported in part by UNDP's Bureau for Crisis Prevention and Recovery (BCPR), UNDP joint program in Vanuatu and the World Bank IRCCNH Project. Component 2 may also provide input for amendments to financial legislation in Vanuatu to support the establishment of climate financing mechanisms. <p>USAID Adapt Asia-Pacific has conveyed to UNDP that its primary interest in this activity lies in supporting the Government of Vanuatu in taking forward the recommendations that will emerge from the assessment work under Component 2. It is anticipated that the recommendations emerging from Component 2 will identify alternate modalities for improving Vanuatu's access to climate finance, such as the creation of a trust fund, establishing direct access with an international climate fund, or strengthening national systems for direct budget support.</p>		
Planned Activities and Results in FY 14		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> • Discuss with the Government of Vanuatu and UNDP the analysis of climate change and DRM financing baselines and options for future mechanisms that have emerged from the assessment work under Component 2 to identify a possible entry point for USAID Adapt Asia-Pacific intervention 	Q2	<ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance.
<ul style="list-style-type: none"> • Once the precise entry point can be identified, scoping, staffing and execution will follow, but is expected to cover, for example, tracking climate finance, screening priority projects, identifying potential funding sources, and assessing readiness to access these resources. 	Q2-Q3	

TASK FOUR: PROJECT PREPARATION

ACTIVITY 20: PROJECT PREPARATION FOR INDONESIA CLIMATE CHANGE TRUST FUND		
Location: Indonesia	Partners: Indonesia Climate Change Trust Fund (ICCTF), BAPPENAS	Start Date: August 2012
<p>Description of Activity:</p> <p>To date, USAID Adapt Asia-Pacific has assisted Indonesia Climate Change Trust Fund (ICCTF) with development of a project selection and ranking system, improvements to its monitoring and evaluation system, and an initial foray into its fund raising strategy. The selection and ranking system was trialed at a recent workshop and stakeholders began the process of preparing projects for funding. The proposed additional assistance from USAID Adapt Asia-Pacific is to identify which projects should be given priority and to work with government agencies to formulate the highest priority projects.</p> <p>Indonesia generates a vast number of development projects every year, guided by regular five-year development plans, sector plans, and annual plans for each line agency. Most of these are submitted to BAPPENAS, the national development planning agency, for inclusion in various lists of projects (blue book, yellow book), especially where external funding is anticipated. The ICCTF needs to find a way to sort through these myriad projects to distinguish between: (i) projects that don't require any adaptation to climate change; (ii) projects that do require adaptation, but no adaptation component is currently incorporated in the conceptual design; (iii) investment projects that incorporate adaptation into the project design; (iv) technical assistance or capacity strengthening projects that incorporate climate change adaptation activities; and (v) standalone climate change adaptation projects, where the primary goal is adaptation. In addition, Indonesia is now finalizing its national adaptation plan of action and it would be very helpful to incorporate an agreed approach to prioritizing projects in this plan, which is being finalized.</p> <p>From this reorganization or categorization of projects, and given the likely shortfall in adaptation funding available to the ICCTF, the next challenge is to develop a robust method to shortlist and then rank promising projects that match the available sources of funding. From a search of international literature, there is no commonly used system for such shortlisting and ranking. The teams preparing the various National Adaptation Programs of Action (NAPAs), with UNDP assistance, took general guidance from UNDP and adapted it to meet their own specific needs and interests, so no common approach emerged from this process (although there are some good lessons to be learned).</p> <p>Accordingly, Indonesia will have to develop its own system of prioritizing and ranking adaptation projects, while simultaneously incorporating this system into the national adaptation plan of action and building on the normal BAPPENAS procedures for screening and ranking development project, especially for external loans and grant funding.</p>		
Activities and Results in FY 13		
<p>Main Activities:</p> <ul style="list-style-type: none"> Developed criteria for selecting and ranking projects for financing Evaluated the existing M&E system developed by UNDP and scoped out the need for an approved M&E system for adaptation Conducted study on alternative sources of funding and recommended different strategies to access these resources 		<p>Results:</p> <ul style="list-style-type: none"> IR1.1: 513 person hours of training completed in climate change supported by USG assistance IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Prepare M&E system for adaptation window of ICCTF Continue preparation of the 6 small scale projects identified in FY13 plus any other projects for which funding is available Continue assistance on fund-raising strategy 	<p>Timing:</p> <p>Q1</p> <p>Q1-Q2</p> <p>Q1-Q2</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance IR 2.1: Two climate change adaptation projects approved or in the pipeline with facilitated access to finance IR 2.3: 10,000 people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 21: PHILIPPINES ADAPTATION FUND PROJECT PREPARATION		
Location: Philippines	Partners: Department of Finance (DoF)	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific is assisting the Government of the Philippines Department of Finance (DoF) achieve accreditation as a national implementing entity (NIE) under the UNFCCC Adaptation Fund (AF). The project initially assisted DoF with an internal assessment of its readiness to apply for AF accreditation. The assessment concluded that DoF was generally compliant with AF criteria for accreditation. Subsequently, USAID Adapt Asia-Pacific provided assistance to DoF for preparing the NIE application. Following the submission of its application to the AF in December 2012, the project supported DoF in developing responses to AF Accreditation Panel questions on the application. To address AF's query on prospective operational arrangements, the project is assisting DoF to draft an operations manual presenting how DoF will function as a NIE. DoF submitted the complete response package to the AF, including supporting documents, in June 2013. The next AF Accreditation Panel meeting is scheduled for December 2013, when a positive response from AF is anticipated. In anticipation of a positive response, DoF has been meeting with Government's DENR, CCC and NEDA to discuss proposed arrangements for DoF NIE operations. DoF plans to form a NIE Technical Working Group comprised of these three agencies for the purpose of identifying and preparing appropriate projects for AF funding. Assuming that its application is successful, the purpose of the present activity is to assist DoF and the Technical Working Group to identify and prepare projects for the AF. DoF will need to select one or more projects to submit to the AF Board. USAID Adapt Asia-Pacific has offered to help select and formulate this project proposal(s).</p>		
Activities and Results in FY 13		
<p>Main Activities:</p> <ul style="list-style-type: none">• Held preliminary discussions with DoF officials on USAID Adapt Asia-Pacific's potential role assuming achievement of AF NIE accreditation, particularly with respect to project identification and preparation	<p>Results:</p> <ul style="list-style-type: none">• IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance	
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none">• Following DoF NIE accreditation, enter into in-depth discussions with DoF and the Technical Working Group to define USAID Adapt Asia-Pacific's specific role in project identification and preparation for AF funding• Support DoF and the Technical Working Group, as agreed, with project identification and preparation	<p>Timing:</p> <p>Q1</p> <p>Q2-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none">• IR 1.2: One institutions with improved capacity to address climate change issues as a result of USG assistance• IR 2.1: XXX (TBD) climate change adaptation projects with facilitated access to finance• IR 2.3: XXX (TBD) people benefitting from climate change adaptation project approved or in the pipeline as a result of USG assistance• IR 2.4: XXX (TBD) investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 22: NAURU CLIMATE CHANGE TRACKING TOOL		
Location: Nauru	Partners: Planning and Aid Division in the Department of Finance and Sustainable Development and the Department of Commerce, Industry and Environment; Pacific Island Forum Secretariat (TBD) ; UNDP (TBD)	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>The Pacific Islands Forum Secretariat (PIFS) carried out the Pacific Climate Change Finance Assessment Nauru Case Study in 2012 and launched it in May 2013 at the joint PIFS/SPREP/USAID Adapt Asia-Pacific "Pacific Climate Change Resourcing Event Week". The assessment was based on a review of policies, programs and approaches of Nauru and key development partners, and consultations with government officials, community and private sector representatives, donors and other development partners. It draws together a variety of previous studies, including policy reviews and analyses focusing on specific sector or thematic issues. The PIFS-developed Pacific Climate Change Financing Assessment Framework (PCCFAF) provided the overarching framework for the assessment. An important component of the PCCFAF was an expenditure analysis. The purpose of this analysis was to identify the scale, trends and patterns of expenditure on climate change related activities. The analysis considered climate change expenditure through Government of Nauru systems and delivered directly by donors and development partners. One of the recommendations of the Nauru Case Study was the development of systems to ensure that climate change related expenditure is tracked on an ongoing basis to provide more accurate information for future years. This is also an activity in the Climate Change Finance Action Plan underpinning the Case Study.</p> <p>It is proposed that the project work with the Department of Finance and Sustainable Development and the Department of Commerce, Industry and Environment to develop climate change finance tracking mechanisms. This will involve a comprehensive examination of expenditure and assistance information such as budget papers. The development of a methodology to accurately calculate and track in-kind assistance will also be required.</p> <p>The project will involve consultation with the key personnel within the Government of Nauru, development partners providing assistance, particularly in-kind assistance to the Government of Nauru and the project team that undertook the Nauru Case Study from the Pacific Island Forum Secretariat and the United Nations Development Program.</p> <p>The tool will need to be compatible with Nauru's Financial Management Information System (FMIS) and the Aid Information Management System. It should be congruent with, and support, ongoing reforms to its Public Financial Management Reforms.</p> <p>It is also proposed that the Technical Assistance team develop training materials in the use of the climate change related expenditure and assistance tracking mechanism and that training sessions be delivered to personnel within the Department of Finance and the Department of Commerce, Industry and Environment.</p>		
Planned Activities and Results in FY 14		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Receive approval from Government of Nauru for the proposed cooperation with USAID Adapt Asia-Pacific and scope of services • Finalize ToR and partnering arrangements • Develop relevant mechanisms or tools to enable the tracking of climate change related expenditure and assistance • Develop a series of tailored climate change training materials for relevant government departments, SOE's, the community and the private sector • Delivery of training to these identified sectors 	<p>Timing:</p> <p>Q1</p> <p>Q2-Q3</p> <p>Q3</p> <p>Q3-Q4</p> <p>Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 320 person hours of training completed in climate change supported by USG assistance • IR 1.2: Two institutions with improved capacity to address climate change issues as a result of USG assistance • IR 2.6: One climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 23: RMI CLIMATE CHANGE FINANCE CASE STUDY		
Location: Government of the Republic of the Marshall Islands (RMI)	Partners: RMI, Pacific Islands Forum Secretariat (PIFS), UNDP, EU	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>Following on the successful and well-received joint PIFS/SPREP/USAID Adapt Asia-Pacific May/June 2103 "Pacific Climate Change Resourcing Event Week" in Nadi, PIFS received an official request from the Government of the Republic of the Marshall Islands (RMI) to undertake a Climate Change Finance Case Study in RMI, similar to the one recently carried out in Nauru. PIFS has agreed to support this initiative. A joint announcement of this cooperation was made at the recently concluded Pacific Leaders' Forum meeting in Majuro, RMI. PIFS, on behalf of its Secretary General Slade, requested the collaboration of USAID RDMA on this assignment. USAID RDMA, on August 15, 2013, responded positively to the opportunity to work with PIFS and RMI in preparing a climate change case study for RMI. USAID RDMA indicated that USAID Adapt Asia-Pacific will contact PIFS to discuss the scope of work, timeframe and resources the project can provide.</p> <p>The key points agreed between PIFS and USAID Adapt Asia-Pacific are as follows: (i) the draft ToR will be revised by PIFS in collaboration with the Government of RMI; (ii) PIFS will sort out the roles of potential other partners that have come forward to date, including UNDP and the EU-funded SPC-GCCA program; (iii) PIFS would continue its ongoing discussions with the Suva-based Pacific Financial Technical Assistance Center (PFTAC) that would establish a formal partnership for collaboration on public financial management-related activities in the Pacific region; and (iv) PIFS would announce the proposed collaboration on the RMI case study at the September 2 CC Experts Meeting and at the following Leaders' Forum. The first mission to RMI for the case study is now envisaged for January 2014, since the schedule is tight in November with CoP 19 and the Christmas holiday in December. As part of its contribution to the RMI case study, USAID Adapt Asia-Pacific expressed initial interest in defining what climate change means for RMI and identifying sources of climate change funding for RMI.</p>		
Planned Activities and Results in FY 14		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> Clarify USAID Adapt Asia-Pacific's role and timeframe for the assignment 	Q1	<ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance.
<ul style="list-style-type: none"> Commence and carry out initial assessment of downscaled global climate models for RMI 	Q2-Q3	
<ul style="list-style-type: none"> Prepare report on climate implications for RMI and identify hotspots 	Q3	
<ul style="list-style-type: none"> Identify sources of climate finance and RMI readiness to access those resources. 	Q3-Q4	

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